

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
 US Department of Commerce
 United States Patent and Trademark
 Office, PCT
 2011 South Clark Place Room
 CP2/5C24
 Arlington, VA 22202
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 09 February 2001 (09.02.01)	
International application No. PCT/CA00/00714	Applicant's or agent's file reference 13091.43
International filing date (day/month/year) 12 June 2000 (12.06.00)	Priority date (day/month/year) 11 June 1999 (11.06.99)
Applicant JACQUES, Hélène et al	

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

13 December 2000 (13.12.00)



in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

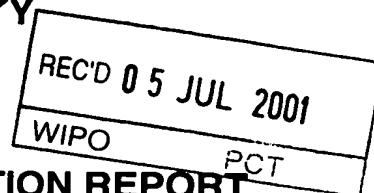
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer A. Karkachi Telephone No.: (41-22) 338.83.38
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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference KB/13091.3	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/CA00/00714	International filing date (day/month/year) 12/06/2000	Priority date (day/month/year) 11/06/1999
International Patent Classification (IPC) or national classification and IPC C07K14/00		
Applicant UNIVERSITE LAVAL et al.		



1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 7 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 11 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand [18/12/2000] 13.12.2000	Date of completion of this report 03.07.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Langer, A Telephone No. +49 89 2399 7809 

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA00/00714

I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

Description, pages:

1,2,4-33,35-45, as originally filed
47-59

3,34,46 as received on 18/06/2001 with letter of 14/06/2001

Claims, No.:

1-30 as received on 18/06/2001 with letter of 14/06/2001

Drawings, sheets:

1/14,2/14, as originally filed
4/14-14/14

3/14 as received on 18/06/2001 with letter of 14/06/2001

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA00/00714

listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☒ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

see separate sheet

6. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.
- ☒ claims Nos. 6-8, 13-21, 26-30 as to IA.

because:

☒ the said international application, or the said claims Nos. 6-8, 13-21, 26-30 relate to the following subject matter which does not require an international preliminary examination (*specify*):
see separate sheet

☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☐ no international search report has been established for the said claims Nos. .

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

- ☐ the written form has not been furnished or does not comply with the standard.
- ☐ the computer readable form has not been furnished or does not comply with the standard.

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/CA00/00714

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes: Claims	
	No: Claims	1-30
Inventive step (IS)	Yes: Claims	
	No: Claims	1-30
Industrial applicability (IA)	Yes: Claims	1-5, 9-12, 22-25
	No: Claims	

**2. Citations and explanations
see separate sheet**

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/CA00/00714

Re item I

Basis of the report

The amendment of **claims 11, 15, 28**, consisting in the addition of a definition of obesity complication is not acceptable under Art. 19 (2) PCT as such a definition was not contained in the original application. This amendment is therefore not taken into account for the examination of present application.

The amended **claims 22-30** are not acceptable under Art. 19 (2) PCT as they are not limited to the exact amino acid composition of cod protein but refer to "a mixture of one or more amino acids...", which includes numerous combinations, which are not supported by the original disclosure. Furthermore, these amended claims would include subject-matter, for which not international search report has been established. The subject-matter of the amended claims is therefore only partially taken into account for the examination of present application, i.e. limited to the subject-matter supported by the original application, which is the amino acid composition of cod protein (Table 2 of the description).

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 6-8, 13-21, 26-30 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Reference is made to the following documents:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/CA00/00714

D1: US-A-4 584 197 (TAKASAKI TAKASHI ET AL) 22 April 1986 (1986-04-22)
D2: HURLEY CHRISTINE ET AL: 'Soy protein isolate in the presence of
cornstarch reduces body fat gain in rats.' CANADIAN JOURNAL OF
PHYSIOLOGY AND PHARMACOLOGY, vol. 76, no. 10-11, October 1998
(1998-10), pages 1000-1007, XP000978792 ISSN: 0008-4212

2. The present application refers to compositions for treating hyperglycaemia, insulin resistance or obesity, containing fish protein, hydrolysed fish protein and fish protein amino acids (claims 1-5, 22-25), their therapeutical use for the given indications (claims 6-8), their use for the manufacture of the corresponding medicaments (claims 9-12) as well as treatment methods using these compositions (claims 13-21, 26-30).

3. Document D1 discloses fish extracts with insulin-like function, which are diluted in water for administration (example 2). Suitable fish extracts can be obtained from cods. The fish extract may be used for curing diabetes.
Document D2 describes the use of soy or cod protein for the reduction of body fat gain in rats. The soy protein diet causes lower plasma glucose concentrations in the treated animals. The document further indicates that the protein effects on body energy and fat gains were modulated by the degree of insulin sensitivity.

4. **Novelty (Art. 33 (2) PCT) and Inventive Step (Art. 33 (3) PCT)**

Compositions containing fish (e.g. cod) disclosed in the prior art (documents D1 and D2). The compositions were indicated for the treatment of hyperglycaemia (document D1), which may be caused by diabetes (document D1), the treatment of insulin resistance (document D2) or prevention or treatment of obesity (document D2).

The subject-matter of **claims 1-30** does therefore not fulfill the requirements of novelty as defined by Art. 33 (2) PCT.

The requirements of inventive step as defined by Art. 33 (3) PCT are therefore not fulfilled either.

5. **Industrial Applicability (Art. 33 (4) PCT)**

For the assessment of the present claims 1-30 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

Re Item VIII

Certain observations on the international application

According to **claims 1, 2, 6, 7-11, 13-18** the effect of the invention can be obtained among other with fish protein amino acids and soy protein amino acids, without further defining the structure of the amino acids concerned. However, it is clear from the description on pages 3, 17, 26-27 that the effect of the invention can only be achieved with specific amino acids, which is therefore a feature essential to the definition of the invention.

Since independent **claims 1, 2, 6, 7-11, 13-18** do not contain this feature they not meet the requirement following from Article 6 PCT taken in combination with Rule 6.3(b) PCT that any independent claim must contain all the technical features essential to the definition of the invention.

The term "obesity complications" used in **claims 11, 15, 28** is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, i.e. which conditions would fall under this definition, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).

The vague and imprecise statement in the description on page 46, lines 13-16 implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity (Article 6 PCT) when used to interpret them (see also the PCT Guidelines, III-4.3a).

comparable to those in the rats given the soy protein diet while addition of lysine to the soy protein diet resulted in serum insulin levels comparable to those in the rats given the casein diet. These results reinforce the role of arginine and lysine present in dietary proteins on the regulation of insulin sensitivity. However the mechanisms by which these amino acids exert their action on insulin sensitivity are still not clear.

At the cellular level, Traxinger and Marshall (1989) [19] observed a marked desensitization of the glucose transport system in adipocytes treated in a defined buffer containing 25 ng/ml insulin, 20mM glucose, plus 15 amino acids found in Dulbecco's modified Eagle's medium (DMEM). Of the 15 amino acids, one group of 8 amino acids (glutamine, glycine, threonine, lysine, methionine, phenylalanine, valine, leucine) was found to be fully effective in mediating loss of insulin sensitivity. Interestingly, L-glutamine was as effective as total amino acids in modulating loss of insulin sensitivity, becoming the primary amino acid modulating glucose-induced loss of insulin sensitivity in adipocytes [19]. In skeletal muscles, plasma levels of amino acids, particularly the branched-chain amino acids (leucine, isoleucine) and threonine, may influence carbohydrate metabolism by decreasing insulin-mediated glucose uptake [20]. The infusion of amino acids during an euglycemic-hyperinsulinemic clamp decreased both whole body glucose oxidation and nonoxidative glucose disposal and forearm glucose disposal in normal fasted volunteers [21]. Patti et al (1998) [22] recently reported that exposure of cultured hepatic and muscle cells to a balanced mixture of amino acids down-regulated early steps in insulin action critical for glucose transport and inhibition of gluconeogenesis. It is also interesting to note that very recent studies have shown that taurine supplementation improved insulin sensitivity in

insulin action on 2-[³H]DG uptake as compared to casein or soy protein-fed groups, to a level that was comparable to that observed in chow-fed controls. The effect of cod protein on insulin-stimulated 2-[³H]DG uptake was similar in muscles enriched with either oxidative-type I fibers (e.g. soleus), oxidative-glycolytic-type IIa fibers (e.g. red gastrocnemius) or glycolytic-type IIb fibers (e.g. white gastrocnemius). Similar results were observed in cardiac muscle (Figure 9A). Basal 2-[³H]DG uptakes in skeletal or cardiac muscles of obese rats were not affected by the source of dietary proteins (Figures 8-9) and were not different than that measured in chow-fed animals (data not shown).

We also examined the effects of dietary proteins on insulin-stimulated 2-[³H]DG uptake in brown and white adipose tissues (Figure 9). It should be noted that glucose uptake values were much greater in brown adipose tissue than white adipose tissues whatever the diet consumed (cf y axes in Fig. 9A vs 9B), in accordance with previous studies [33]. High-fat feeding was associated with impaired insulin action in brown fat of both casein and soy-protein fed rats, as compared to chow-fed controls. Cod protein-fed obese animals showed an improved insulin-mediated glucose uptake, but it failed to reach the level of significance as compared to the other dietary groups. Surprisingly, cod protein feeding failed to increase insulin-stimulated glucose uptake in white adipose tissues of high-fat fed obese rats (Figure 9B). As compared to chow-fed controls, insulin-mediated 2-[³H]DG uptake was comparably reduced in all high-fat fed groups. Basal 2-[³H]DG uptake rates in adipose tissues were not affected by dietary proteins (Figure 9B) and were not different as compared to chow-fed rats (data not shown).

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. In all cases, the form must be sterile and must be fluid to the extent that
5 easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (e.g. glycerol, propylene glycol and liquid
10 polyethylene glycol), suitable mixtures thereof, and vegetable oils.

Although the present invention has been described hereinabove by way of preferred embodiments, it can be modified, without departing from the
15 spirit and nature of the subject invention as defined in the appended claims.

CLAIMS

1. A composition for treating hyperglycemia in a human or non-human animal comprising one or more compounds selected from the group consisting of: fish protein, hydrolysed fish protein and fish protein amino acids.
5
2. A composition for treating insulin resistance in a human or non-human animal comprising one or more compounds selected from the group consisting of: fish protein, hydrolysed fish protein and fish protein amino acids.
10
3. A composition as defined in claim 1 or 2, wherein said hyperglycemia and insulin resistance are the result of Type 1 or Type 2 diabetes.
15
4. A composition as defined in any one of claims 1-3, wherein said fish protein is cod fish protein.
- 20 5. A composition as defined in claim 4, further comprising a pharmaceutically-acceptable carrier, adjuvant or vehicle.
- 25 6. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to restore normal insulin function in an insulin-resistant mammal.

7. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to prevent or treat hyperglycemia.
- 5 8. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to prevent or treat obesity complications, which may include arteriosclerosis, hyperlipidemia, hypercholesterolemia, hypertriglyceridemia, hyperglycemia, hypertension and hyperinsulinemia.
- 10
9. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to produce a medicament to restore normal insulin function in an insulin-resistant mammal.
- 15
10. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to produce a medicament to prevent or treat hyperglycemia.
- 20
11. Use of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids to produce a medicament to prevent or treat obesity complications, which may include arteriosclerosis, hyperlipidemia, hypercholesterolemia, hypertriglyceridemia, hyperglycemia, hypertension and hyperinsulinemia.
- 25

12. A use as defined in any one of claims 6-11, wherein said fish protein is cod fish protein.
- 5 13. A method of preventing or treating insulin resistance in a human or non-human animal suffering therefrom, comprising the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids.
- 10 14. A method of preventing or treating hyperglycemia in a human or non-human animal, comprising the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids.
- 15 15. A method of preventing or treating obesity complications in a human or non-human animal, which may include arteriosclerosis, hyperlipidemia, hypercholesterolemia, hypertriglyceridemia, hyperglycemia, hypertension and hyperinsulinemia, comprising
20 the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids.
- 25 16. A method of preventing or treating insulin resistance in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids in a quantity that is about 4% to about 60% of said animal's diet.

17. A method of preventing or treating hyperglycemia in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids in a quantity that is about 4% to about 60% of said animal's diet.
18. A method of preventing or treating obesity in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, hydrolysed fish protein and fish protein amino acids in a quantity that is about 4% to about 60% of said animal's diet.
19. A method as defined in claim 13, 14, 16 or 17, wherein said insulin resistance or hyperglycemia is the result of Type 1 or Type 2 diabetes.
20. A method as defined in any one of claims 13-19, wherein said fish protein is cod fish.
21. A method as defined in claim 20, wherein said compounds are combined with a pharmaceutically-acceptable carrier, adjuvant or vehicle.
22. A composition for treating hyperglycemia in a human or non-human animal comprising a mixture of one or more amino acids in the following weight proportion (units of amino acid/100 units of total amino acids): about 6.74 alanine; about 6.29 arginine; about 11.14 aspartic acid; about 16.75 about glutamic acid; about 5.39 glycine; about 2.27 histidine; about 3.24 isoleucine;

about 8.31 leucine; about 1.98 methionine; about 9.41 lysine;
about 4.22 phenylalanine; about 4.42 proline; about 5.55 serine;
about 4.84 threonine; about 4.31 tyrosine; and about 3.86 valine.

- 5 23. A composition for treating insulin resistance in a human or non-
 human animal comprising a mixture of one or more amino acids
 in the following weight proportion (units of amino acid/100 units
 of total amino acids): about 6.74 alanine; about 6.29 arginine;
10 about 11.14 aspartic acid; about 16.75 about glutamic acid;
 about 5.39 glycine; about 2.27 histidine; about 3.24 isoleucine;
 about 8.31 leucine; about 1.98 methionine; about 9.41 lysine;
 about 4.22 phenylalanine; about 4.42 proline; about 5.55 serine;
 about 4.84 threonine; about 4.31 tyrosine; and about 3.86 valine.
- 15 24. A composition as defined in claim 22 or 23, wherein said
 hyperglycemia and insulin resistance are the result of Type 1 or
 Type 2 diabetes.
- 20 25. A composition as defined in any one of claims 22-24, further
 comprising a pharmaceutically-acceptable carrier, adjuvant or
 vehicle.
- 25 26. A method of preventing or treating insulin resistance in a human
 or non-human animal suffering therefrom, comprising the
 administration of an effective amount of a mixture of one or more
 amino acids in the following weight proportion (units of amino
 acid/100 units of total amino acids): about 6.74 alanine; about
 6.29 arginine; about 11.14 aspartic acid; about 16.75 about
 glutamic acid; about 5.39 glycine; about 2.27 histidine; about

3.24 isoleucine; about 8.31 leucine; about 1.98 methionine; about 9.41 lysine; about 4.22 phenylalanine; about 4.42 proline; about 5.55 serine; about 4.84 threonine; about 4.31 tyrosine; and about 3.86 valine.

5

27. A method of preventing or treating hyperglycemia in a human or non-human animal, comprising the administration of an effective amount of a mixture of one or more amino acids in the following weight proportion (units of amino acid/100 units of total amino acids): about 6.74 alanine; about 6.29 arginine; about 11.14 aspartic acid; about 16.75 about glutamic acid; about 5.39 glycine; about 2.27 histidine; about 3.24 isoleucine; about 8.31 leucine; about 1.98 methionine; about 9.41 lysine; about 4.22 phenylalanine; about 4.42 proline; about 5.55 serine; about 4.84 threonine; about 4.31 tyrosine; and about 3.86 valine.

10

15

28. A method of preventing or treating obesity complications in a human or non-human animal, which may include arteriosclerosis, hyperlipidemia, hypercholesterolemia, hypertriglyceridemia, hyperglycemia, hypertension and hyperinsulinemia, comprising the administration of an effective amount of a mixture of one or more amino acids in the following weight proportion (units of amino acid/100 units of total amino acids): about 6.74 alanine; about 6.29 arginine; about 11.14 aspartic acid; about 16.75 about glutamic acid; about 5.39 glycine; about 2.27 histidine; about 3.24 isoleucine; about 8.31 leucine; about 1.98 methionine; about 9.41 lysine; about 4.22 phenylalanine; about

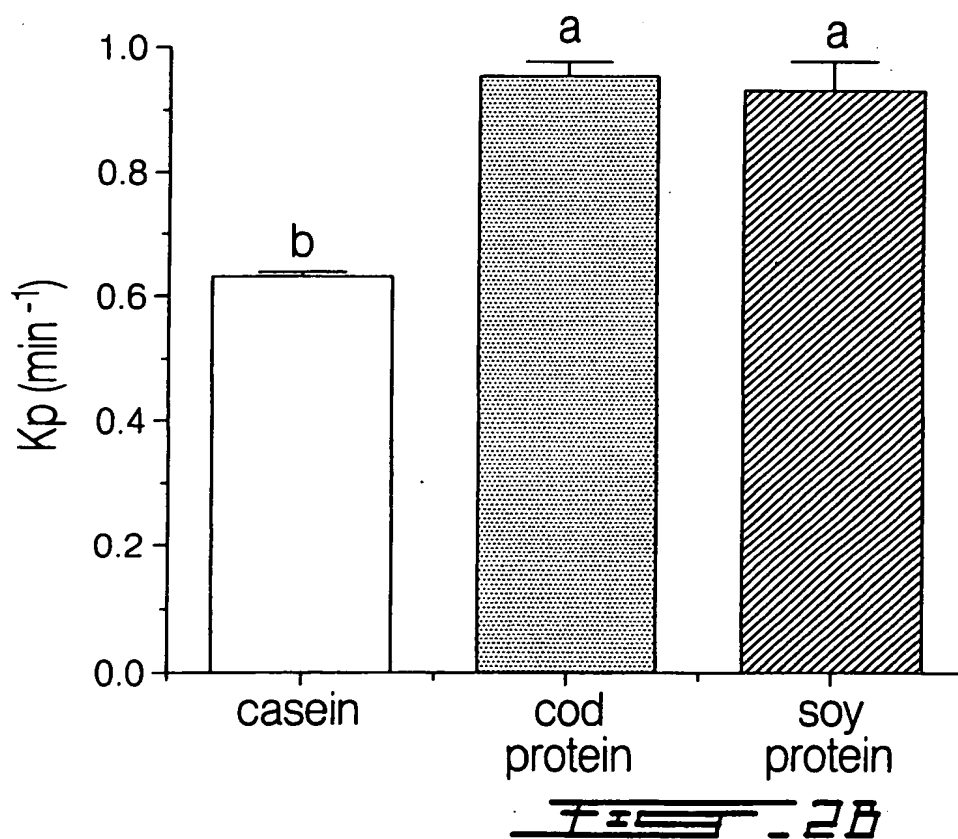
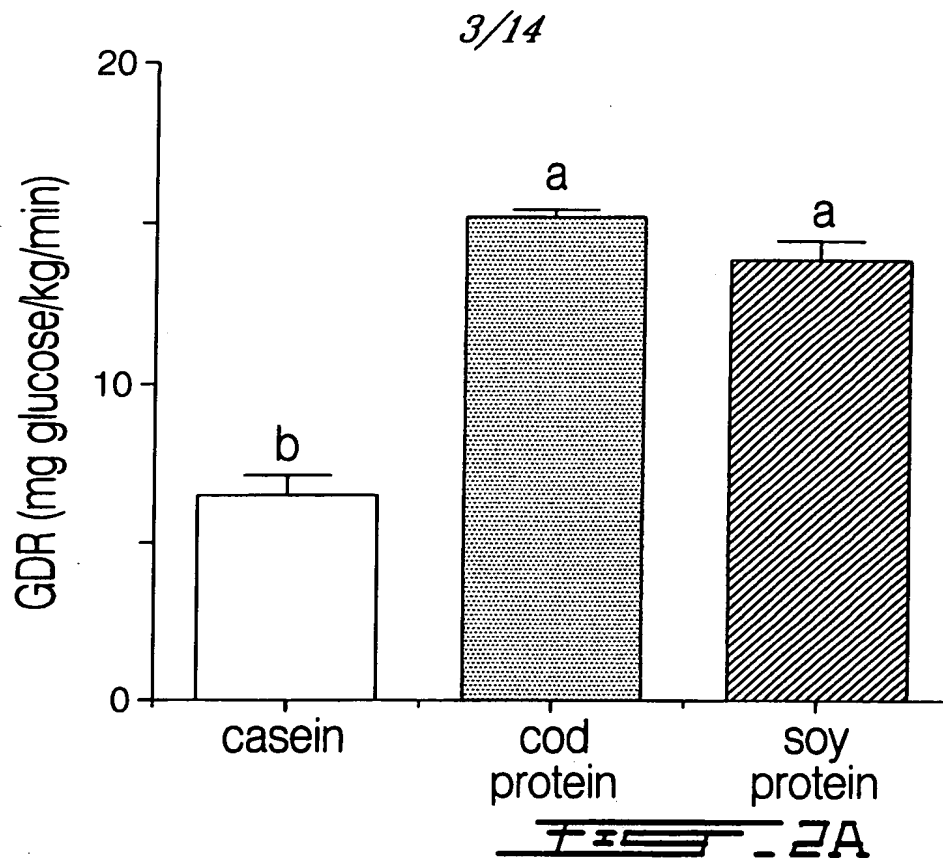
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25

4.42 proline; about 5.55 serine; about 4.84 threonine; about 4.31 tyrosine; and about 3.86 valine.

5 29. A method as defined in claim 26, 27 or 28, wherein said insulin resistance or hyperglycemia is the result of Type 1 or Type 2 diabetes.

10 30. A method as defined in claim 29, wherein said compounds are combined with a pharmaceutically-acceptable carrier, adjuvant or vehicle.



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference KB/11229.129	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/CA 00/00714	International filing date (day/month/year) 12/06/2000	(Earliest) Priority Date (day/month/year) 11/06/1999
Applicant UNIVERSITE LAVAL		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 6 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☒ **Certain claims were found unsearchable** (See Box I).

3. ☒ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/JP 00/00714

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K38/01 A61K31/195 A61K38/16 A61K38/17 A23L1/305
A23K1/16 A61P3/10

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K A23L A23K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, WPI Data, FSTA

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 584 197 A (TAKASAKI TAKASHI ET AL) 22 April 1986 (1986-04-22)	1-4, 7-12, 15-19, 22-27, 30,31
Y	abstract column 1, line 11 - line 18 column 1, line 66 -column 2, line 2 column 2, line 8 - line 24 column 2, line 64 - line 68 column 3, line 51 - line 68 examples 4,5 claim 2 --- -/--	6,14,21, 29,38

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

23 January 2001

Date of mailing of the international search report

31/01/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Noë, V

INTERNATIONAL SEARCH REPORT

International Application No

PC 00/00714

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HURLEY CHRISTINE ET AL: "Soy protein isolate in the presence of cornstarch reduces body fat gain in rats." CANADIAN JOURNAL OF PHYSIOLOGY AND PHARMACOLOGY, vol. 76, no. 10-11, October 1998 (1998-10), pages 1000-1007, XP000978792 ISSN: 0008-4212	1-5, 7-13, 15-20, 22-24, 26-28, 30,32, 33, 35-37,39
Y	abstract table 1 page 1003, column 2, paragraph 1 table 4 page 1004, column 2, last paragraph page 1005, column 1, paragraph 1 - paragraph 2 page 1005, column 2, last paragraph -page 1006, column 1, paragraph 2 page 1006, column 2, last paragraph	6,14,21, 29,38
X	FR 2 395 288 A (PPN) 19 January 1979 (1979-01-19) page 1, line 1 - line 6 page 1, line 27 - line 29 page 2, line 37 -page 3, line 1	1-5, 9-11,13, 16-18, 20, 23-26, 28,31, 33-35,37
X	REDDY S ET AL: "A combined casein-free-nicotinamide diet prevents diabetes in the NOD mouse with minimum insulinitis." DIABETES RESEARCH AND CLINICAL PRACTICE, vol. 29, no. 2, 1995, pages 83-92, XP000978802 ISSN: 0168-8227 abstract page 87, paragraph 3; table 1	10,13, 17,20, 23-25, 28,32, 33,37
X	DATABASE WPI Section Ch, Week 199811 Derwent Publications Ltd., London, GB; Class D13, AN 1998-113579 XP002158049 & JP 10 000071 A (FUJI SEIYU KK), 6 January 1998 (1998-01-06) abstract	11,13, 18,20, 26,28, 35,37

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/ISA 00/00714

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LAVIGNE CHARLES ET AL: "Dietary fish protein prevents skeletal muscle insulin resistance in rats fed a high-fat diet." DIABETES, vol. 48, no. SUPPL. 1, 1999, page A307 XP000978751 59th Scientific Sessions of the American Diabetes Association; San Diego, California, USA; June 19-22, 1999 ISSN: 0012-1797 abstract	2-4, 8, 9, 11, 12, 15, 16, 18, 19, 22, 23, 25-27, 29-32, 34-36, 39
P, X	----- LAVIGNE CHARLES ET AL: "Cod and soy proteins compared with casein improve glucose tolerance and insulin sensitivity in rats." AMERICAN JOURNAL OF PHYSIOLOGY., vol. 278, no. 3 part 1, March 2000 (2000-03), pages E491-E500, XP000978740 ISSN: 0002-9513 the whole document -----	1-5, 7-10, 12-17, 19, 20, 22-25, 27, 28, 30-34, 36, 37, 39

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-3,6,8-11,14,16-18,21,23-26,29,31-35,
38 (partially) and 4,7,12,15,19,22,27,30,36,
39 (completely)

Medical and diet compositions comprising fish protein, hydrolysed fish protein and fish protein amino acids for the prevention and treatment of hyperglycemia, insulin resistance, diabetes and obesity complications. Methods of preventing or treating of hyperglycemia, insulin resistance, diabetes and obesity complications with these medical or diet compositions.

2. Claims: 1-3,6,8-11,14,16-18,21,23-26,29,31-35,
38 (partially) and 5,13,20,28,37 (completely)

Medical and diet compositions comprising soy protein, hydrolysed soy protein and soy protein amino acids for the prevention and treatment of hyperglycemia, insulin resistance, diabetes and obesity complications. Methods of preventing or treating of hyperglycemia, insulin resistance, diabetes and obesity complications with these medical or diet compositions.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/CA 00/00714

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

Although claims 9-15 and 23-39 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PC 00/00714

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4584197 A	22-04-1986	JP 1014885 B	14-03-1989
		JP 1531241 C	15-11-1989
		JP 59161319 A	12-09-1984
		DE 3318130 A	06-09-1984
		DK 217583 A, B,	05-09-1984
		FR 2541897 A	07-09-1984
		GB 2136002 A, B	12-09-1984
		KR 8602095 B	25-11-1986
		SU 1308183 A	30-04-1987
FR 2395288 A	19-01-1979	FR 2424030 A	23-11-1979
JP 10000071 A	06-01-1998	NONE	

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-3,6,8-11,14,16-18,21,23-26,29,31-35,
38 (partially) and 4,7,12,15,19,22,27,30,36,
39 (completely)

Medical and diet compositions comprising fish protein, hydrolysed fish protein and fish protein amino acids for the prevention and treatment of hyperglycemia, insulin resistance, diabetes and obesity complications. Methods of preventing or treating of hyperglycemia, insulin resistance, diabetes and obesity complications with these medical or diet compositions.

2. Claims: 1-3,6,8-11,14,16-18,21,23-26,29,31-35,
38 (partially) and 5,13,20,28,37 (completely)

Medical and diet compositions comprising soy protein, hydrolysed soy protein and soy protein amino acids for the prevention and treatment of hyperglycemia, insulin resistance, diabetes and obesity complications. Methods of preventing or treating of hyperglycemia, insulin resistance, diabetes and obesity complications with these medical or diet compositions.



From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT
OR THE DECLARATION

(PCT Rule 44.1)

To:

GOUDREAU GAGE DUBUC
Attn. DUBUC, J.
The Stock Exchange Tower
800 Place Victoria, Suite 3400
Montreal, Quebec H4Z 1E9
CANADA

Date of mailing
(day/month/year)

31/01/2001

Applicant's or agent's file reference

KB/11229.129 13091.3

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International application No.

PCT/CA 00/00714

International filing date
(day/month/year)

12/06/2000

Applicant

UNIVERSITE LAVAL

1. ☒ The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Catherine Humbert

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

DUBUC, Jean
GOUDREAU GAGE DUBUC
The Stock Exchange Tower
800 Place Victoria, Suite 3400
P.O. Box 242
Montreal, Quebec H4Z 1E9
CANADA

PCT

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL PRELIMINARY
EXAMINATION REPORT
(PCT Rule 71.1)

Date of mailing
(day/month/year) 03.07.2001

Applicant's or agent's file reference
KB/13091.3

IMPORTANT NOTIFICATION

International application No.
PCT/CA00/00714

International filing date (day/month/year)
12/06/2000

Priority date (day/month/year)
11/06/1999

Applicant
UNIVERSITE LAVAL et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/



European Patent Office
D-80298 Munich
Tel. +49 89 2399 - 0 Tx: 523656 epmu d
Fax: +49 89 2399 - 4465

Authorized officer

Exner, K

Tel. +49 89 2399-7826



PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference KB/13091.3	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/CA00/00714	International filing date (day/month/year) 12/06/2000	Priority date (day/month/year) 11/06/1999
International Patent Classification (IPC) or national classification and IPC C07K14/00		
Applicant UNIVERSITE LAVAL et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.


2. This REPORT consists of a total of 7 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 11 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 18/12/2000	Date of completion of this report 03.07.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Langer, A Telephone No. +49 89 2399 7809



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA00/00714

I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

Description, pages:

1,2,4-33,35-45, as originally filed
47-59

3,34,46 as received on 18/06/2001 with letter of 14/06/2001

Claims, No.:

1-30 as received on 18/06/2001 with letter of 14/06/2001

Drawings, sheets:

1/14,2/14, as originally filed
4/14-14/14

3/14 as received on 18/06/2001 with letter of 14/06/2001

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA00/00714

listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☒ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

see separate sheet

6. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.
- ☒ claims Nos. 6-8, 13-21, 26-30 as to IA.

because:

- ☒ the said international application, or the said claims Nos. 6-8, 13-21, 26-30 relate to the following subject matter which does not require an international preliminary examination (*specify*):
see separate sheet
- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):
- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☐ no international search report has been established for the said claims Nos. .

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

- ☐ the written form has not been furnished or does not comply with the standard.
- ☐ the computer readable form has not been furnished or does not comply with the standard.

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/CA00/00714

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;
citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes: Claims	
	No: Claims	1-30
Inventive step (IS)	Yes: Claims	
	No: Claims	1-30
Industrial applicability (IA)	Yes: Claims	1-5, 9-12, 22-25
	No: Claims	

**2. Citations and explanations
see separate sheet**

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/CA00/00714

Re item I

Basis of the report

The amendment of **claims 11, 15, 28**, consisting in the addition of a definition of obesity complication is not acceptable under Art. 19 (2) PCT as such a definition was not contained in the original application. This amendment is therefore not taken into account for the examination of present application.

The amended **claims 22-30** are not acceptable under Art. 19 (2) PCT as they are not limited to the exact amino acid composition of cod protein but refer to "a mixture of one or more amino acids...", which includes numerous combinations, which are not supported by the original disclosure. Furthermore, these amended claims would include subject-matter, for which not international search report has been established. The subject-matter of the amended claims is therefore only partially taken into account for the examination of present application, i.e. limited to the subject-matter supported by the original application, which is the amino acid composition of cod protein (Table 2 of the description).

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 6-8, 13-21, 26-30 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Reference is made to the following documents:

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/CA00/00714

D1: US-A-4 584 197 (TAKASAKI TAKASHI ET AL) 22 April 1986 (1986-04-22)
D2: HURLEY CHRISTINE ET AL: 'Soy protein isolate in the presence of
cornstarch reduces body fat gain in rats.' CANADIAN JOURNAL OF
PHYSIOLOGY AND PHARMACOLOGY, vol. 76, no. 10-11, October 1998
(1998-10), pages 1000-1007, XP000978792 ISSN: 0008-4212

2. The present application refers to compositions for treating hyperglycaemia, insulin resistance or obesity, containing fish protein, hydrolysed fish protein and fish protein amino acids (claims 1-5, 22-25), their therapeutical use for the given indications (claims 6-8), their use for the manufacture of the corresponding medicaments (claims 9-12) as well as treatment methods using these compositions (claims 13-21, 26-30).

3. Document D1 discloses fish extracts with insulin-like function, which are diluted in water for administration (example 2). Suitable fish extracts can be obtained from cods. The fish extract may be used for curing diabetes.
Document D2 describes the use of soy or cod protein for the reduction of body fat gain in rats. The soy protein diet causes lower plasma glucose concentrations in the treated animals. The document further indicates that the protein effects on body energy and fat gains were modulated by the degree of insulin sensitivity.

4. **Novelty (Art. 33 (2) PCT) and Inventive Step (Art. 33 (3) PCT)**

Compositions containing fish (e.g. cod) disclosed in the prior art (documents D1 and D2). The compositions were indicated for the treatment of hyperglycaemia (document D1), which may be caused by diabetes (document D1), the treatment of insulin resistance (document D2) or prevention or treatment of obesity (document D2).

The subject-matter of **claims 1-30** does therefore not fulfill the requirements of novelty as defined by Art. 33 (2) PCT.

The requirements of inventive step as defined by Art. 33 (3) PCT are therefore not fulfilled either.

5. **Industrial Applicability (Art. 33 (4) PCT)**

For the assessment of the present claims 1-30 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

Re Item VIII

Certain observations on the international application

According to **claims 1, 2, 6, 7-11, 13-18** the effect of the invention can be obtained among other with fish protein amino acids and soy protein amino acids, without further defining the structure of the amino acids concerned. However, it is clear from the description on pages 3, 17, 26-27 that the effect of the invention can only be achieved with specific amino acids, which is therefore a feature essential to the definition of the invention.

Since independent **claims 1, 2, 6, 7-11, 13-18** do not contain this feature they not meet the requirement following from Article 6 PCT taken in combination with Rule 6.3(b) PCT that any independent claim must contain all the technical features essential to the definition of the invention.

The term "obesity complications" used in **claims 11, 15, 28** is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, i.e. which conditions would fall under this definition, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).

The vague and imprecise statement in the description on page 46, lines 13-16 implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity (Article 6 PCT) when used to interpret them (see also the PCT Guidelines, III-4.3a).

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
21 December 2000 (21.12.2000)

PCT

(10) International Publication Number
WO 00/77034 A3

- (51) International Patent Classification⁷: A61K 38/01, 31/195, 38/16, 38/17, A23L 1/305, A23K 1/16, A61P 3/10
- (21) International Application Number: PCT/CA00/00714
- (22) International Filing Date: 12 June 2000 (12.06.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
2,274,414 11 June 1999 (11.06.1999) CA
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- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
— With international search report.
- (88) Date of publication of the international search report:
12 July 2001
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: AMINO ACIDS FROM FISH AND SOY PROTEINS IMPROVE INSULIN SENSITIVITY

(57) Abstract: The present invention describes the use of fish protein (namely cod protein) and soy protein to improve the peripheral insulin resistance in a human or non-human animal. Fish and soy protein were administered to rats submitted to high-sucrose or high-fat/sucrose diets, which are animal models for diabetes. It was found that fish and soy protein efficiently control glucose utilization, and that fish protein is particularly effective in muscle tissue. This effect is not observed when rats are given casein. Fish and soy protein are therefore promising for controlling insulin-resistance, diabetes and complications resulting therefrom, such as obesity.

WO 00/77034 A3

(19) World Intellectual Property Organization
International Bureau



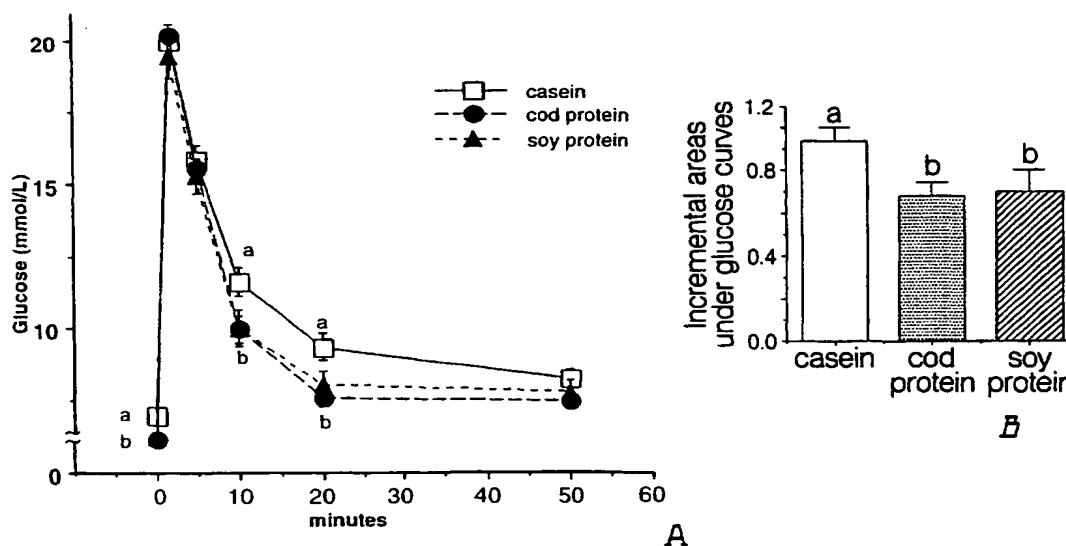
(43) International Publication Date
21 December 2000 (21.12.2000)

PCT

(10) International Publication Number
WO 00/77034 A2

- (51) International Patent Classification⁷: C07K 14/00 (74) Agents: DUBUC, Jean, H. et al.; Goudreau Gage Dubuc, The Stock Exchange Tower, Suite 3400, 800 Place Victoria, P.O. Box 242, Montreal, Quebec H4Z 1E9 (CA).
- (21) International Application Number: PCT/CA00/00714
- (22) International Filing Date: 12 June 2000 (12.06.2000)
- (25) Filing Language: English
- (26) Publication Language: English
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- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
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- Published:
— Without international search report and to be republished upon receipt of that report.
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(57) Abstract: The present invention describes the use of fish protein (namely cod protein) and soy protein to improve the peripheral insulin resistance in a human or non-human animal. Fish and soy protein were administered to rats submitted to high-sucrose or high-fat/sucrose diets, which are animal models for diabetes. It was found that fish and soy protein efficiently control glucose utilization, and that fish protein is particularly effective in muscle tissue. This effect is not observed when rats are given casein. Fish and soy protein are therefore promising for controlling insulin-resistance, diabetes and complications resulting therefrom, such as obesity.

TITLE OF THE INVENTION

Amino Acids from Fish and Soy Proteins Improve Insulin Sensitivity

5 FIELD OF THE INVENTION

This invention relates to compositions comprising fish and/or soy proteins, or comprising their hydrolysis peptides or amino acids, used for preventing insulin resistance or restoring normal insulin function in insulin-resistant
10 subjects. Such compositions should be beneficial in preventing or remedying Type 1 and Type 2 diabetes, as well as the obesity that often accompanies the latter affliction, in human and non-human animals. This invention is especially effective in treating Type 2 diabetes.

15 BACKGROUND OF THE INVENTION

Insulin resistance is characterized by an abnormally low response of the target cells to insulin, inducing high plasma insulin levels [1] and hypertriglyceridemia [2]. Several studies have demonstrated that the
20 macronutrient composition of the diet is an important determinant of insulin sensitivity. Although most studies have examined the role of high-fat [3-7], low-soluble fiber [8] or high-sucrose diets [9] in the development of an impaired insulin action, relatively few studies have focused on the impact of dietary proteins. Up to now, a high-protein intake (60% of energy) has
25 been reported to impair glucose metabolism in peripheral and hepatic tissues [10], but little information is available concerning the effect of different dietary protein sources. In this respect, when compared with casein, soy protein has been shown to decrease serum insulin concentrations in fasted normoglycemic rats [11]. Moreover, postprandial

studies in rats [12], and in humans [13], showed a reduced postprandial insulin response to a single test meal containing soy protein compared with casein. Iritani et al. [14] further reported that dietary soy protein, in a saturated high-fat diet, may help to improve insulin sensitivity by increasing insulin receptor mRNA levels in liver and adipose tissues. Interestingly, cod protein has also been shown to reduce fasting plasma glucose compared with casein in normoglycemic rats [15]. However, little is known on the effects of cod and soy proteins on glucose tolerance, and on the postprandial plasma glucose and insulin response to a meal in rats maintained on controlled diets for a long-term period.

The components of dietary proteins responsible for these glucoregulatory effects are presumably the amino acids contained in the protein. However, it is not clear which amino acids are involved. On one hand, cod and soy proteins contain higher arginine levels than casein [16]. At levels found in dietary proteins, arginine is associated with a decrease of plasma insulin and the insulin/glucagon ratio [17]. This suggests that high arginine content in cod and soy proteins may contribute to reduce the fasting insulin response. On the other hand, high quantities of the amino acid lysine, that is present at higher levels in casein than in soy protein [16], have been shown to increase plasma insulin concentrations [18]. In this regard, Vahouny et al (1985) [11] measured in rats the effects on circulating glucose and insulin levels of diets in which the lysine/arginine ratio of the soy diet (0.9) was adjusted to that of the casein diet (2.0) by addition of L-lysine, and that of the casein diet was adjusted to that of the soy protein diet by addition of L-arginine. Fasting serum insulin levels in soy protein-fed rats were significantly lower than in casein-fed rats. Addition of arginine to the casein diet resulted in serum insulin levels

comparable to those in the rats given the soy protein diet while addition of lysine to the soy protein diet resulted in serum insulin levels comparable to those in the rats given the casein diet. These results reinforce the role of arginine and lysine present in dietary proteins on the regulation of insulin sensitivity. However the mechanisms by which these amino acids exert their action on insulin sensitivity are still not clear.

At the cellular level, Traxinger and Marshall (1989) [19] observed a marked desensitization of the glucose transport system in adipocytes treated in a defined buffer containing 25 ng/ml insulin, 20mM glucose, plus 15 amino acids found in Dulbecco's modified Eagle's medium (DMEM). Of the 15 amino acids, one group of 8 amino acids (glutamine, glycine, threonine, lysine, methionine, phenylalanine, valine, leucine) was found to be fully effective in mediating loss of insulin sensitivity. Interestingly, L-glutamine was as effective as total amino acids in modulating loss of insulin sensitivity, becoming the primary amino acid modulating glucose-induced loss of insulin sensitivity in adipocytes [19]. In skeletal muscles, plasma levels of amino acids, particularly the branched-chain amino acids (leucine, isoleucine) and threonine, may influence carbohydrate metabolism by decreasing insulin-mediated glucose uptake [20]. The infusion of amino acids during an euglycemic-hyperinsulinemic clamp decreased both whole body glucose oxidation and nonoxidative glucose disposal and forearm glucose disposal in normal fasted volunteers [21]. Patti et al (1998) [22] recently reported that exposure of cultured hepatic and muscle cells to a balanced mixture of amino acids down-regulated early steps in insulin action critical for glucose transport and inhibition of gluconeogenesis. It is also interesting to note that very recent studies have shown that taurine supplementation improved insulin sensitivity in

animals models of insulin resistance [23, 24]. Although the mechanism involved in the effect of these amino acids on insulin sensitivity remains unclear at present.

- 5 Therefore, there is an interest in investigating whether fish and soy proteins, as compared with casein, could improve glucose tolerance, postprandial plasma glucose and insulin responses, whole-body glucose insulin action and peripheral glucose uptakes in high-sucrose or high-fat fed rats, which are well established models of insulin resistance.

10

SUMMARY OF THE INVENTION

Based on the previous studies cited above, our working hypothesis was that cod and soy proteins exert beneficial effects on glucose tolerance, on
15 peripheral insulin sensitivity, and on postprandial plasma glucose and insulin responses in rats maintained on controlled diets for a long-term period. To test this hypothesis, rats were fed controlled diets containing either casein, cod, or soy protein for 28 days. Various parameters of glucose tolerance and insulin sensitivity were measured during 1) an
20 intravenous glucose tolerance test (IVGTT), 2) a hyperinsulinemic-euglycemic clamp, and 3) a test meal. Physiological curve responses of plasma C-peptide, glucagon, and triglycerides were also determined after the test meal.

- 25 For 28 days, male Wistar rats were fed isoenergetic high-sucrose diets containing either casein, soy protein or cod protein. In the fasting state, the cod protein- and soy protein-fed rats had lower plasma glucose and insulin concentrations, compared to casein-fed animals. Plasma glucose

response after intravenous glucose bolus was lower after 10 and 20 minutes in cod protein- and soy protein-fed rats than in casein-fed rats, resulting in lower incremental areas under glucose curves and in a higher rate of glucose disappearance (Rd) with cod protein than with casein. Cod protein induced a lower insulin response to the glucose load, particularly during the late-phase insulin secretion (10 to 50 minutes), suggesting an improved peripheral insulin sensitivity in comparison with casein. In the test meal experiment, after a 12-hour fast, each dietary group received 5 g of their usual purified diet during 30 minutes. In the postprandial state, plasma glucose responses were similar regardless of protein origin. Postprandial plasma insulin, C-peptide and triglyceride concentrations were lower in cod protein- and soy protein-fed rats than in casein-fed rats at several time points following the test meal. Higher postprandial plasma arginine concentrations as well as lower branched-chain or essential amino acids could be involved in the improvement of insulin sensitivity in cod and soy protein-fed rats. On the basis of the metabolic responses to the responses to the three common dietary proteins, it may be concluded that, in comparison to casein, soy protein and cod protein improved insulin sensitivity and reduced fasting and postprandial plasma insulin response in rats fed high-sucrose diets.

Based on the data obtained in high-sucrose fed rats, it was of further interest to test the hypothesis that dietary cod or soy proteins may reduce or prevent the development of insulin resistance in a rodent model of obesity and insulin resistance. Consequently, a study was devised to test the effects of dietary cod and soy proteins compared with casein on peripheral insulin sensitivity of rats made obese by feeding a high-fat/sucrose diet. The high-fat/sucrose fed rat is a well-established animal

model of insulin resistance reproducing the common form of the abdominal (visceral) obese insulin-resistant syndrome seen in humans [25-29]. Rodents fed on this diet rapidly develop whole body and skeletal muscle insulin resistance [30-32]. The effects of dietary proteins on basal and insulin-stimulated glucose uptake in individual insulin-sensitive tissues was also investigated by measuring the in vivo uptake of 2-[³H]-deoxy-D-glucose in skeletal muscles, heart, and adipose tissues. The present study shows that the consumption of cod protein (but not casein or soy proteins) fully prevents the development of skeletal muscle insulin resistance in diet-induced obesity. Furthermore, study conducted in vitro with L6 myocytes showed that cells treated with the amino acid mixture corresponding to plasma amino acid concentrations of cod protein-fed rats were more insulin-responsive than those treated with mixture representing casein. Our data suggest that the beneficial effect of dietary cod protein on insulin sensitivity is explained, at least in part, by a direct action of cod protein-derived amino acids on the myocyte glucose transport system.

In one aspect, the present invention provides compositions comprising fish and/or soy proteins, or hydrolysis peptides or amino acids derived therefrom, for use in controlling obesity complications in human or non-human animals.

The present invention further provides compositions comprising fish and/or soy proteins, or hydrolysis peptides or amino acids derived therefrom, for use in the treatment of hyperglycemia (diabetes) in human or non-human animals.

The disease diabetes mellitus is characterized by metabolic defects in

production and utilization of glucose which result in the failure to maintain appropriate blood sugar levels. The result of these defects is elevated blood glucose or hyperglycemia. Research on the treatment of diabetes has centered on attempts to normalize fasting and postprandial blood glucose levels. Treatments have included parenteral administration of exogenous insulin, oral administration of drugs and dietary therapies.

Two major forms of diabetes mellitus are now recognized. Type I diabetes, or insulin-dependent diabetes, is the result of an absolute deficiency of insulin, the hormone which regulates glucose utilization. Type II diabetes, or insulin-independent diabetes (i.e., non-insulin-dependent diabetes mellitus), often occurs in the face of normal, or even elevated levels of insulin and appears to be the result of the inability of tissues to respond appropriately to insulin. Most of the Type II diabetics are also obese. The combination of the present invention is useful for treating both Type I and Type II diabetes. The combination is especially effective for treating Type II diabetes.

The compositions of the present invention are useful for controlling insulin-resistance, diabetes and obesity complications. For these purposes, the compositions of the present invention may be administered orally, parenterally (including subcutaneous injections, intravenous, intramuscular injection or infusion techniques), by inhalation spray, or rectally, in dosage unit formulations containing conventional non-toxic pharmaceutically acceptable carriers, adjuvants and vehicles.

Thus, the present invention further provides a method for controlling diabetes and obesity complications. The treatment involves administering

to a patient in need of such treatment a composition comprising a carrier and a therapeutically effective amount of each compound of the present invention.

- 5 These compositions may be in the form of orally-administrable suspensions or tablets; nasal sprays; sterile injectable preparations, for example, as sterile injectable aqueous or oleaginous suspensions or suppositories.

10 **DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION**

The objects, advantages and other features of the present invention will become apparent upon reading of the following non-restrictive description
15 of the preferred embodiments thereof, given by way of example only with reference to the accompanying drawings.

BRIEF DESCRIPTION OF THE FIGURES

- 20 **Figure 1.** (A) Changes in plasma glucose concentrations in the fasting state and after iv glucose bolus in rats fed either casein, cod protein, or soy protein diet for 28 days. (B) Glucose area responses to intravenous glucose tolerance tests (IVGTT) in arbitrary units. (C) Changes in plasma insulin concentrations in the fasting state and after iv glucose bolus in rats
25 fed either casein, soy protein, or cod protein diet. (D) Total insulin area responses to IVGTT. Groups bearing different letters for a given time point are significantly different ($P < 0.05$). Areas are significantly different ($P < 0.05$) if they do not share a common letter. Values are means \pm SE.

Figure 2. (A) Glucose disposal rate (GDR) to maintain euglycemia during steady-state (60-120 min) insulin infusion in fasting state. (B) Plasma 2-deoxy-D- ^3H]glucose disappearance rate (Kp). Rats were fed either casein, cod, or soy protein diet during 28 days. Bars represent means \pm SE of data obtained from 3-4 rats/group. Groups without common letter differ at $P < 0.05$.

Figure 3. (A) Changes in plasma glucose concentrations in the fasting state and after the test meal in rats fed either casein, cod protein, or soy protein diet for 28 days. Meals consisted of 5 g of their current diet. (B) Glucose area responses to the test meal in arbitrary units. (C) Changes in plasma insulin concentrations in the fasting state and the test meal in rats fed either casein, soy protein, or cod protein diet. (D) Insulin area responses to the test meal in arbitrary units. Groups bearing different letters for a given time point are significantly different ($P < 0.05$). Areas are significantly different ($P < 0.05$) if they do not share a common letter. Values are means \pm SE.

Figure 4. (A) Changes in plasma C-peptide concentrations in the fasting state and after the test meal in rats fed either casein, soy protein, or cod protein diet for 28 days. (B) Plasma C-peptide area responses to the test meal in arbitrary units. (C) Changes in plasma glucagon concentrations in the fasting state and after the test meal in rats fed either casein, soy protein, or cod protein diet. (D) Plasma glucagon area responses to the test meal in arbitrary units. Groups bearing different letters for a given time point are significantly different ($P < 0.05$). Areas are significantly different ($P < 0.05$) if they do not share a common letter. Values are means \pm SE.

Figure 5. Changes in plasma insulin-to-glucagon ratios (A) and plasma triglyceride concentrations (B) in the fasting state and after the test meal in rats fed either casein, soy protein, or cod protein diet for 28 days. Groups bearing different letters for a given time point are significantly different ($P < 0.05$). Values are means \pm SE.

Figure 6. (A) Changes in plasma free amino acids 30 minutes after the test meal in rats fed either the casein, soy protein or cod protein diet for 28 days. (B) Changes in plasma free amino acids 120 minutes after the test meal in rats fed either the casein, soy protein or cod protein diet for 28 days. Groups bearing different letters are significantly different ($P < 0.05$). Values are means \pm SE.

Figure 7. Glucose infusion rate (GIR 60-120) to maintain euglycemia during steady-state (60-120 min) insulin infusion in fasted rats. Rats were fed either casein, cod, or soy proteins with the high-fat/sucrose diets during 4 wks. As a reference, the GIR 60-120 value of chow-fed is indicated by the dotted line. Values are means \pm SE for 7 to 9 rats in each group. Groups bearing different letters are significantly different at $P < 0.05$.

Figure 8. In vivo basal and insulin-stimulated 2-deoxy-D-glucose uptake in (A) white tibialis, white gastrocnemius and quadricep muscles and (B) soleus, red tibialis, red gastrocnemius and EDL muscles during euglycemic clamps. The mean value for insulin-stimulated chow-fed rats is represented by a dotted line. Bars represent means \pm SE of data obtained from 7 to 9 rats. Insulin-stimulated values bearing different letters are significantly different at $P < 0.05$. No significant differences were

observed for basal glucose uptake rates among high-fat fed and chow-fed groups.

Figure 9. In vivo basal and insulin-stimulated 2-deoxy-D-glucose uptake in (A) heart and interscapular brown adipose tissue (BAT) and (B) white epididymal (WEpi) and white retroperitoneal (WRetro) adipose tissues during euglycemic clamps. The mean value for insulin-stimulated chow-fed rats is represented by a dotted line. Bars represent means \pm SE of data obtained from 7 to 9 rats. Insulin-stimulated values bearing different letters are significantly different at $P < 0.05$. No significant differences were observed for basal glucose uptake rates among high-fat/sucrose fed and chow-fed groups.

Figure 10. Effects of dietary proteins on (A) adipose tissue weights, (B) adipose tissue TNF- α expression, and (C) skeletal muscle TNF- α expression in high-fat fed rats. TNF- α protein levels were measured in extracts of epididymal adipose tissue and mixed gastrocnemius muscle as described in Materials & Methods (EXAMPLE 2, below). The mean value for insulin-stimulated chow-fed rats is represented by a dotted line. Bars represent means \pm SE of data obtained from 7-9 rats. No significant differences were observed among high-fat/sucrose fed dietary groups by ANOVA analysis.

Figure 11. Effects of casein-, cod protein-, or soy protein-derived amino acid mixtures on insulin-stimulated glucose uptake in L6 myocytes. Muscle cells were incubated for one hour with amino acids before measurements of glucose uptake as described in the Materials and Methods section in EXAMPLE 2, below. Results are expressed as insulin minus basal glucose

uptake values. Basal glucose uptake rate ($28.9 \pm 0.8 \text{ pmol} \cdot \text{mg}^{-1} \cdot \text{min}^{-1}$) was not altered by the amino acid mixtures. Insert shows insulin sensitivity index (EC50) calculated from individual dose-response curves. Data are means \pm SE of 4-5 separated experiments performed in triplicate. Groups bearing different letters are significantly different at $P < 0.05$.

EXAMPLE 1: The Effects of Feeding Various Dietary Proteins on Insulin Sensitivity and Glucose Tolerance in Rats

10

MATERIALS AND METHODS

Animals. Male Wistar rats (Charles River, St. Constant, QC, Canada) weighing 240 g on arrival were individually housed in wire-mesh cages in a temperature- and humidity-controlled room with a daily dephased 12:12-h light-dark cycle (lights on at 2200 to 1000). Upon arrival, all rats were fed a grounded nonpurified commercial diet (Purina rat chow; Ralston Purina, Lasalle, QC, Canada) for at least 6 days. At the end of this baseline period, rats were divided into three groups of the same average weights. Purified diets and tap water were provided ad libitum for 28 days. Food intake was estimated every day by subtracting the food spillage weight from the initial food weight, and body weight was measured weekly. The animal facilities met the guidelines of the Canadian Council on Animal Care, and the protocol was approved by the Animal Care Committee of Laval University.

Diets. After the baseline period, all animals were assigned to one of the three purified powdered diets varying in protein source, namely casein, cod protein, soy protein, and were fed for 28 days. The composition of

each purified diet is detailed in Table 1. Those diets have been recognized to induce higher fasting plasma glucose and cholesterol in casein-fed rats compared with cod protein- and soy protein-fed rats [15]. All diet ingredients, except vitamin mix (Teklad, Madison, WI) and cod protein, were purchased from ICN (Cleveland, OH). Cod protein was prepared in our laboratory by freeze-drying cod fillets, followed by a 24-h delipidation using diethylether as solvent in a Soxhlet-type apparatus (Canadawide Scientific, Montreal, QC, Canada). Ingredients for the purified diets were mixed and stored at -20°C until used. The energy content of the diets was measured with an automatic adiabatic calorimeter (model 1241; Parr Instruments, Moline, IL). Diets were found to be isoenergetic in the casein (19.91 kJ/g), soy protein (19.95 kJ/g), and cod protein (19.66 kJ/g) diets. The protein content ($N \times 6.25$) was determined with a Kjeldahl Foss autoanalyzer (model 1612; Foss, Hillerod, Denmark). The level of protein in the purified diets was adjusted to an isonitrogenous basis at the expense of carbohydrates.

Experimental protocols. At day 25, rats were cannulated via the jugular vein (IVGTT and test meal experiments) and the carotid artery (hyperinsulinemic-euglycemic clamp experiment) under isoflurane anesthesia. Food intake was near normal postoperatively, and all rats were within 4% of surgery weight on the day of the study. Blood samplings were carried out in a 15 x 30-cm open plastic box to which rats were accustomed and in which they remained undisturbed during the experiment. Experiments 1, 2, and 3 were evaluated in separate groups of animals.

Experiment 1: IVGTT. At day 28, after a 12-h fast, 10 rats/dietary group

were injected with 1.5 ml/kg body wt of a 35% glucose solution dissolved in saline as a bolus via the jugular catheter. The catheter was then flushed with saline. Blood samples (300 µl) were drawn through the catheter with EDTA-containing syringes (1.5 g/l blood) before (0 min) and 2, 5, 10, 20, 5 and 50 min after the glucose load and were stored on ice. The plasma was separated by centrifugation and was stored at -80°C until analysis. All erythrocytes were pooled, resuspended in saline, and injected in the animals after the 20- and 50-min samples.

10 Experiment 2: Hyperinsulinemic-euglycemic clamp and in vivo 2-deoxy-D-glucose uptake. Whole body insulin action was determined by the hyperinsulinemic-euglycemic clamp procedure, as described previously [33]. Briefly, rats were fasted overnight, transferred to a quiet isolated room, and weighed. Unrestrained conscious animals were allowed to rest 15 for 40 min before the first blood sample (300 µl). A continuous intravenous infusion of purified human insulin (Humulin R; Eli Lilly, Indianapolis, IN) was then started at the rate of $4.0 \text{ mU} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ and was continued for 140 min with a syringe pump (Razel, Stamford, CT) to achieve plasma insulin concentrations in the physiological range. Dextrose solution (25%) 20 was infused through the venous line at a variable rate to maintain blood glucose at the initial value. Blood samples (40 µl) were taken from the carotid artery catheter at 5-min intervals to monitor plasma glucose concentrations using an Elite glucometer (Bayer, Etobicoke, ON). Every 20 min, an additional 300 µl of blood was withdrawn for later determination 25 of plasma insulin levels. Erythrocytes were suspended in saline and reinjected into the animals to prevent a fall in the hematocrit and minimize stress. Insulin action within in vivo individual muscle was determined as described previously [33]. Briefly, the nonmetabolizable glucose analog

1,2- ^3H 2-deoxy-D-glucose (2- ^3H DG) and D- ^{14}C sucrose (NEN Du Pont, Boston, MA) were administered together in an intravenous bolus 20 min before the end of the clamp. Blood samples were drawn at 5, 7.5, 10, 12.5, 15, 17.5 and 20 min after bolus administration for determination of radiolabeled 2- ^3H DG and ^{14}C sucrose. The plasma concentrations of 2- ^3H DG after the single injection were plotted on a semilogarithmic scale, and the rate of 2- ^3H DG disappearance from plasma was calculated from the slope obtained by a linear regression analysis, as described previously [34, 35]. At the completion of the clamp, rats were rapidly killed by decapitation, and the red gastrocnemius muscle was rapidly removed, frozen in liquid nitrogen, and stored at -80°C for subsequent analysis. Tissue samples (50-100 mg) were dissolved in 1 ml of Solvable (NEN) at 55°C for 16 h. Thereafter, hydrogen peroxide (30% solution) was added to decrease quenching, followed by the addition of 8 ml of scintillation fluid (BCS; Amersham, Mississauga, ON, Canada). The accumulation of 2- ^3H DG in muscle, corrected for extracellular space with ^{14}C sucrose, was used as an index of glucose uptake rates, as described by others [34, 35].

Experiment 3: Test meal. The experimental diet and jugular cannulation protocols were similar to those described in the IVGTT protocol. At day 28, after a 12-h fast, and at the beginning of the dark period, 10 rats/dietary group received 5 g of their assigned experimental diet for 30 min. After that time, any uningested food was removed. Blood samples were obtained before the beginning of the test meal (-30 min) and at 0 (end of the meal), 30, 60, 120, and 240 min. Blood samples for C-peptide and glucagon determinations (300 μl) were collected in tubes containing 250 kallikrein inhibitor units (Trasylo1; Miles, Etobicoke, ON, Canada) at -30,

30, and 120 min only because of limited amounts of blood volumes. The plasma was separated by centrifugation and stored at -80°C until further analysis. All erythrocytes were pooled, resuspended in saline, and reinjected in the animals after the 30-and 120-min samples.

5

Analytical methods. Plasma glucose levels were analyzed using a glucose oxidase method (YSI 2700 Select; Yellow Springs Instruments, Yellow Springs, OH), and plasma insulin, C-peptide, and glucagon levels were measured with a RIA method (Linco Research, St. Charles, MO) using rat
10 insulin, C-peptide, and glucagon standards. Triglycerides were assayed by an enzymatic method using a reagent kit from Boehringer Mannheim (Montreal, QC, Canada). Incremental areas under the curves obtained during IVGTT and test meals were calculated with a computer graphic program with 0- and -30-min time points as baseline values, respectively.
15 IVGTT insulin response areas were distinguished as the first phase (0-10 min) and second phase (10-50 min) postinjection. ³H and ¹⁴C activities in aliquots of plasma and of dissolved tissue samples were determined by a liquid scintillation counter (Wallach 1409) using a dual-label counting program. Amino acid concentrations of crude protein and plasma
20 samples were determined as reported by Galibois et al [36] and were analysed by ion-exchange chromatography using a Beckman amino acid analyzer (Palo Alto, CA) model 6300.

Statistical analyses. Data were analyzed with the general linear model
25 program on the SAS statistical package for personal computers. Data obtained from serial sampling were analyzed using ANOVA with repeated measures, with time as the repeated variable. Physiological parameters, food intake, hepatic insulin extraction, and incremental areas under the

curve were analyzed using ANOVA. Individual between-group comparisons were performed using Duncan's new multiple range test after the ANOVA. Differences were considered significant at $P < 0.05$. All results are presented as means \pm SE.

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RESULTS

Table 2 shows the amino acid composition of the tested dietary proteins. Casein contained the highest amounts of proline, tyrosine, and valine, whereas cod protein contained more alanine and lysine. Notably, the arginine level of cod and soy protein was two times that of casein. The levels of glycine and aspartic acid were also higher in soy protein and cod protein than in casein. The sum of branched-chain amino acids (leucine, isoleucine, and valine) was higher in casein than in cod and soy proteins, whereas the sum of essential amino acids was higher in the animal proteins, casein and cod protein, than in soy protein. Moreover, the lysine-to-arginine ratio was higher in casein (2.4) than in soy protein (0.9) and cod protein (1.5). After 28 days of dietary treatment, rats displayed comparable daily food intake and body weight gain regardless of the protein source (Table 3). The food intake for the last meal (experiment 3, test meal) was similar between the protein groups. After 4 wk of feeding, fasting plasma glucose and insulin were lower in cod protein- and soy protein-fed rats than in casein-fed rats (10 and 50%, respectively, $P < 0.05$; Table 3).

25

Plasma glucose and insulin responses and incremental areas under the glucose and insulin curves during IVGTT are shown in Fig. 1. Cod and soy protein diets resulted in significantly ($P < 0.05$) lower plasma glucose 10

and 20 min after the intravenous glucose load compared with the casein diet (Fig. 1A). Cod (24%, $P < 0.05$) and soy (22%, $P < 0.05$) proteins induced smaller incremental areas under the glucose curves than casein (Fig. 1B). Rats fed cod protein, when compared with casein, displayed a lower insulin response ($P < 0.05$) to the glucose load during the late-phase insulin secretion (10-50 min; Fig. 1C). Soy protein-fed rats displayed an intermediate response. However, the total incremental areas under the insulin curves (Fig. 1D) were similar between protein groups. We next divided the insulin response during IVGTT into an acute phase (first phase; 0-10 min) and a late phase (second phase; 10-50 min) to further dissect out β -cell function [37]. No significant differences were found between the experimental groups during the first phase (casein, 0.24 ± 0.04 arbitrary units; cod protein, 0.29 ± 0.03 arbitrary units; soy protein, 0.24 ± 0.04 arbitrary units). However, the second-phase incremental areas under the insulin curves were significantly higher in the casein group compared with the cod protein group (0.22 ± 0.04 vs. 0.07 ± 0.02 arbitrary units, respectively, $P < 0.05$), whereas soy protein-fed rats showed an intermediate response (0.15 ± 0.05 arbitrary units).

To see whether the improved glucose tolerance of cod protein- and soy protein-fed rats was associated with an increased peripheral insulin sensitivity, whole body and peripheral tissue glucose utilization was next measured during an hyperinsulinemic-euglycemic clamp. As depicted in Fig. 2A, both cod protein- and soy protein-fed rats displayed increased insulin sensitivity compared with rats fed casein. Plasma 2- $[^3\text{H}]\text{DG}$ disappearance rate was also higher in cod protein-fed (34%, $P < 0.05$) and soy protein-fed (32%, $P < 0.05$) rats than in casein-fed rats (Fig. 2B). In accordance with increased peripheral action of insulin in cod protein- and

soy protein-fed rats, higher rates of insulin-stimulated glucose uptakes were observed in red gastrocnemius muscle of these rats (472 ± 26 and $412 \pm 18 \text{ nmol} \cdot \text{min}^{-1} \cdot \text{g}^{-1}$, respectively) compared with casein-fed animals ($213 \pm 24 \text{ nmol} \cdot \text{min}^{-1} \cdot \text{g}^{-1}$, $P < 0.05$).

5

Figure 3 shows fasting and postprandial plasma glucose and insulin responses and incremental areas under the glucose and insulin curves of plasma collected before and after the test meal in animals fed the diets for 4 wk. Postprandial plasma glucose reached a peak response after 1 h (Fig. 3A), and the incremental areas under the glucose curves after the test meal (Fig. 3B) were similar regardless of the protein consumed. Postprandial plasma insulin concentrations were lower ($P < 0.05$) in soy protein-fed rats than in casein-fed rats at several time points (30, 60, and 120 min) after the test meal. Postprandial plasma insulin concentrations were lower ($P < 0.05$) in cod protein-fed rats compared with casein-fed rats immediately after the test meal (0 min) and 30 and 60 min after the test meal (Fig. 3C). The incremental areas under the insulin curves were significantly lower ($P < 0.05$) with cod protein (25%) and soy protein (35%) than with casein (Fig. 3D).

20

The plasma C-peptide curve responses are illustrated in Fig. 4A. In the fasting state (-30 min), C-peptide concentrations were lower in rats fed soy (23%, $P < 0.05$) and cod proteins (30%, $P < 0.05$) compared with rats fed casein. In the postprandial state, plasma C-peptide concentrations were lower (28%, $P < 0.05$) at both 30 and 120 min after the test meal in soy protein- compared with casein-fed rats. Plasma C-peptide concentrations were intermediate at these two time points in cod protein-fed rats. The incremental areas under the C-peptide curves were similar whatever the

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dietary proteins consumed (Fig. 4B). Hepatic insulin extraction, as estimated by the molar C-peptide-to-insulin ratio, was significantly higher in the cod and soy protein groups in the fasting state and 30 min after the test meal than in the casein group (Table 4).

5

Plasma levels of the counterregulatory hormone glucagon are shown in Fig. 4C. Fasting glucagon concentrations were comparable among protein groups. After the ingestion of cod and soy protein meals, there was no significant increase in plasma glucagon concentration. In rats fed casein, 10 the postprandial glucagon concentrations increased with a peak after 30 min, which was 26% ($P < 0.05$) higher than that observed in soy protein-fed animals. Two hours after the test meal, the glucagon response was lower in cod protein- and soy protein-fed rats than in casein-fed rats by 20 and 25%, respectively ($P < 0.05$). The incremental area under the 15 glucagon curve was greater with casein than with soy protein ($P < 0.05$; Fig. 4D). It is also noteworthy that the insulin-to-glucagon ratio was significantly ($P < 0.05$) higher in rats fed casein than in those fed cod or soy proteins before and 30 min after the test meal (Fig. 5A).

20 Plasma triglyceride responses are shown in Fig. 5B. In the fasting state, cod protein- and soy protein-fed rats had lower (30 and 25%, respectively, $P < 0.05$) plasma triglyceride concentrations compared with casein-fed rats. In the postprandial state, plasma triglyceride concentrations were lower 120 min after the test meal in cod protein- and soy protein-fed rats 25 (25 and 40%, respectively, $P < 0.05$) than in casein-fed rats, whereas 240 min after the test meal, plasma triglycerides were lower only in soy protein-fed rats compared with casein-fed rats (37%, $P < 0.05$).

To gain insight the mechanism whereby glucose metabolism was affected by dietary protein, measurements of plasma amino acid levels were performed. Fasting plasma amino acid levels are presented in Table 5. In the fasting state, plasma L-aspartic acid and L-glycine concentrations of the soy protein-fed rats were higher than those of cod protein- and casein-fed rats. Plasma L-citrulline concentrations were higher with casein than with cod and soy proteins. Plasma L-histidine concentrations of cod protein-fed animals were lower than those of casein-fed and soy protein-fed animals. Plasma L-aurine concentrations were significantly higher in rats fed cod protein than in those fed casein, which were nevertheless higher than in those fed soy protein. However, L-arginine, L-lysine, lysine/arginine ratio as well as the plasma sum of total and total branched and essential amino acid concentrations were similar between dietary groups. Interestingly, plasma L-arginine was negatively correlated with fasting plasma C-peptide concentrations ($r=-0.42$, $P=0.04$, $n=24$) confirming the antiseoretagogue role of plasma arginine in physiological concentration. No other fasted amino acid levels was correlated with measured parameters.

Only significant changes in plasma amino acid concentrations after the test meal (30 and 120 minutes vs fasted -30 minutes) are illustrated in Figs. 6A and 6B respectively. Changes in postprandial L-alanine (30 minutes), L-tyrosine (30 minutes), L-leucine (30 minutes), L-proline, and L-valine (30 and 120 minutes) were greater in rats fed casein than those in rats fed cod or soy protein. Changes in postprandial plasma L-arginine (30 and 120 minutes) resulted in lower concentrations in casein-fed rats compared with those in cod and soy protein-fed rats. Thus, changes in L-methionine (30 and 120 minutes) L-alanine and L-lysine (120 minutes)

after the test meal were larger with casein- and cod protein-fed rats compared with those obtained in soy protein-fed rats. Postprandial plasma L-taurine changes (30 minutes) were higher in cod protein-fed rats whereas those in soy protein-fed rats, but casein-fed rats induced intermediate changes. After 120 minutes, L-taurine changes were significantly higher in cod protein group compared to casein and soy proteins groups. Thirty (30) and 120 minutes after the meal, sums of branched free amino acids were higher in casein fed group (30 minutes: $515 \pm 48 \mu\text{mol/L}$, $P < 0.05$; 120 minutes: $492 \pm 60 \mu\text{mol/L}$, $P < 0.05$) compared to cod (30 minutes: $375 \pm 33 \mu\text{mol/L}$; 120 minutes: $385 \pm 24 \mu\text{mol/L}$) and soy protein (30 minutes: $393 \pm 25 \mu\text{mol/L}$; 120 minutes: $393 \pm 33 \mu\text{mol/L}$). Postprandial sums of essential free amino acids were higher in casein fed group (30 minutes: $1552 \pm 122 \mu\text{mol/L}$, $P < 0.05$; 120 minutes: $1487 \pm 121 \mu\text{mol/L}$, $P < 0.05$) compared to cod (30 minutes: $1255 \pm 97 \mu\text{mol/L}$; 120 minutes: $1155 \pm 71 \mu\text{mol/L}$) and soy protein (30 minutes: $1207 \pm 57 \mu\text{mol/L}$; 120 minutes: $1160 \pm 71 \mu\text{mol/L}$). Postprandial plasma L-alanine was correlated with postprandial plasma glucagon both after 30 ($r = 0.63$, $P = 0.0005$, $n = 26$) and 120 minutes ($r = 0.46$, $p = 0.02$, $n = 23$) confirming the glucagon secretagogue role of plasma alanine in physiological concentration. Branched free amino acids after 120 minutes were also correlated with postprandial insulin after 120 minutes ($r = 0.56$, $P = 0.0061$, $n = 22$).

DISCUSSION

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The above shows that cod and soy proteins improve glucose tolerance and insulin sensitivity compared with casein in rats, as determined by indexes of glucose tolerance and insulin sensitivity during IVGTT, the test

meal, and by direct measurement of peripheral insulin action using the hyperinsulinemic-euglycemic clamp technique.

Our observation that cod and soy protein diets produce lower fasting
5 plasma glucose and insulin concentrations than the casein diet is
consistent with previous results obtained in our laboratory [15] and with
data published by Vahouny et al. [11], who showed lower serum insulin
concentrations in fasted rats fed soy protein than in those fed casein. The
reduction in both fasting glucose and insulin levels in cod- and soy protein-
10 fed rats suggests improvement of insulin sensitivity. Similarly, the lower
magnitude of the postprandial insulin response to cod and soy protein
feeding in the present study is in good agreement with data from Hubbard
and Sanchez [13] who reported lower blood insulin levels in humans fed
a soy protein meal compared with those fed a casein meal. However, in
15 the present study, the postprandial experiment did not allow us to
distinguish between chronic (fasting) and acute (postprandial) effects of
the different protein diets because the same diets were used for the acute
test meal. However, the purpose of the test meal experiments was to
examine the glucose, insulin, C-peptide, and glucagon responses to the
20 dietary proteins under usual feeding conditions.

Our results strongly suggest that the reductions of plasma insulin
concentrations in cod- and soy protein-fed rats during the IVGTT and the
test meal were to a large extent associated with an enhanced peripheral
25 insulin sensitivity, as assessed by the hyperinsulinemic-euglycemic clamp
technique. The greater whole body insulin action, 2-[³H]DG disappearance
rate, and skeletal muscle 2-[³H]DG uptake in cod- and soy protein-fed rats
clearly indicate that those rats displayed improved peripheral insulin

sensitivity compared with casein-fed rats. These results further suggest that skeletal muscle, the main site of insulin-stimulated peripheral glucose disposal, is a key target for dietary protein action.

5 On the other hand, the greater glucoregulation in rats fed cod or soy protein could also be attributed to either decreased pancreatic insulin release or increased hepatic insulin extraction. On the one hand, insulin and C-peptide are secreted in equimolar amounts by the pancreas [38], but, in contrast to insulin, very little C-peptide is catabolized by the liver
10 [39], allowing determination of pancreatic insulin secretion. In the present study, a lower C-peptide peak response was observed after the soy protein meal than after the casein meal, suggesting a decrease in insulin secretion in the former. On the other hand, simultaneous assessment of C-peptide and insulin concentrations in peripheral blood enabled us to
15 estimate hepatic insulin removal, as calculated from the C-peptide-to-insulin molar ratio [40]. Hepatic insulin extraction was higher before (fasting state) and 30 min after the test meal in cod- and soy protein-fed rats than in casein-fed rats (Table 4). Therefore, it appears that, in the post-prandial state, both a lower insulin secretion and a higher hepatic
20 insulin extraction may have contributed to reduce plasma insulin concentrations in rats fed cod and soy proteins. Additional features, such as glucose absorption from the gut and non-insulin-mediated glucose uptake, could also be involved in the increased glucose tolerance and insulin sensitivity observed in rats fed cod and soy proteins.

25

Hypertriglyceridemia often accompanies the development of insulin resistance and impaired glucose tolerance associated with high-sucrose diets [29], but their causal relationship is still unclear [2]. Insulin influences

both the rate of hepatic triglyceride synthesis and subsequent very low density lipoprotein (VLDL) triglyceride secretion in the circulation and the rate of triglyceride disappearance from the blood stream through its action on lipoprotein lipase (LPL) activity [41]. In the present study, feeding cod
5 and soy proteins resulted in lowered fasting and postprandial circulating triglyceride concentrations. These results are in good agreement with those published in a study from our laboratory [15] and others [11, 42] showing the hypolipidemic effect of soy protein compared with casein in rats. According to Beynen and Sugano [43], increased insulin sensitivity,
10 such as observed in our rats fed cod and soy proteins, may decrease tissue fatty acid mobilization and, in turn, decrease synthesis and secretion of VLDL triglycerides from the liver, reducing plasma triglyceridemia. Interestingly, Demonty et al. [44] recently demonstrated that LPL activity was lower in skeletal muscle of rats fed cod and soy
15 proteins than of those fed casein. This is expected to decrease fatty acid availability at the muscle cells and thus reduce the ratio of fat to glucose oxidation in these cells [45]. It is therefore possible that feeding cod or soy protein can reduce the supply of lipids and improve muscle insulin sensitivity compared with casein. However, whether the reduction of
20 plasma triglycerides was the cause or the result of improved insulin action in cod- and soy protein-fed rats remains to be investigated.

Alterations in glucagon levels could contribute to the diet-induced changes in insulin sensitivity and triglyceridemia. Indeed, postprandial glucagon
25 concentrations were higher in the casein group than in cod protein- and soy protein-fed animals, suggesting that the daily glucagon concentrations, which are more often in the postprandial state, could induce higher hepatic glucose output and higher fasting glucose levels

[46]. In addition, according to Hubbard and Sanchez [13], a high insulin-to-glucagon ratio can be considered as an early indicator of glucose intolerance. The present results corroborate this notion, since the insulin-to-glucagon ratio was higher in rats fed casein than in those fed cod or soy protein before and 30 min after the test meal.

The mechanisms by which cod and soy proteins improve glucose tolerance and insulin sensitivity are still unclear. Differences in the amino acid composition of dietary proteins have been proposed to mediate protein-dependent changes in glucose and insulin dynamics [47, 48]. An early report [18] suggested that essential amino acids, either individually or in combination, can stimulate the pancreatic release of insulin. In that report [18], arginine given alone was the most potent stimulus for the release of insulin. However, at concentrations found in dietary proteins, arginine has been rather associated with a decrease of fasting insulin levels [48]. This is in contrast with supraphysiological doses of arginine, which have been associated with increases in both insulin and glucagon concentrations [18, 49]. Mulloy et al. [50] demonstrated that a diet containing 1.0% arginine, which is in close agreement with what is found in the cod protein (1.3%) and soy protein (1.5%) diets, induces lower plasma insulin concentrations 30 and 45 min after an IVGTT than a diet containing 0.5% arginine, which is closely equivalent to the arginine level found in our casein diet (0.7%; see Ref. [16]). Furthermore, Vahouny et al. [11] showed that the addition of arginine to a casein diet, to mimic the lysine-to-arginine ratio of soy protein, resulted in serum fasting insulin levels similar to those measured in rats given a soy protein diet. More recent reports [19, 22] further suggest that amino acids can diminish insulin's ability to stimulate peripheral glucose transport. Indeed, infusion

of branched-chain amino acids (leucine, isoleucine, valine), which are predominantly metabolized in skeletal muscles, has been shown to inhibit insulin-mediated glucose uptake in the forearm muscle [20]. Interestingly, the present study shows that the amount of branched-chain amino acids is slightly higher in casein than in cod and soy proteins. However, the mechanism by which dietary proteins induce insulin resistance at the cellular level is not yet well understood. Patti et al. [22] have proposed that a mixture of 20 amino acids can inhibit critical early steps in postreceptor insulin action for glucose transport, including decreased insulin-stimulated tyrosine phosphorylation of insulin receptor substrate (IRS)-1 and IRS-2, reduced binding of the p85 subunit of phosphatidylinositol 3-kinase to IRS-1 and IRS-2, and inhibition of insulin-stimulated phosphatidylinositol 3-kinase activity. It is therefore possible that specific amino acids of dietary proteins regulate skeletal muscle insulin sensitivity for glucose disposal by directly modulating the insulin signaling pathway.

In summary, the results of the present study show that, when compared with casein, soy protein and cod protein improve fasting and postprandial plasma insulin responses in rats. The beneficial effects of these proteins on glucose and insulin dynamics appear to be largely explained by an improved insulin sensitivity, as shown by an increased insulin action in skeletal muscle.

EXAMPLE 2: Protection from Obesity-Induced Insulin Resistance by Dietary Cod Protein

MATERIALS AND METHODS

Materials. 2-deoxy-D-[1,2-³H]glucose (2-[³H]DG), and D-¹⁴C-sucrose was

obtained from NEN Life Science Products (Boston, MA). Purified human insulin (Humulin R) was purchased from Eli Lilly (Indianapolis, IN). All diets components were from ICN Biochemicals, Cleveland, OH, except vitamin mix which was from Harlan Teklad, Madison, WI and cod protein which
5 was prepared in our laboratory as previously described [44].

Treatment of animals. Male Wistar rats (Charles River, Montréal, Qc, Canada) weighing 200-250 g at the beginning of the study were placed on a high-fat diet for 4 wks and food was available ad libitum. The high-fat
10 diet consisted of 20% (wt/wt) protein (either casein, soy protein or cod protein (14.7% of calories), 19.8% lard (32.7% of calories), 19.8% corn oil (32.7% of calories), 24.5% sucrose (19.9% of calories) and 5% cellulose. The high-fat diets were supplemented with 1.4% vitamin mixture, 6.7% AIN-76 mineral mix, 0.2% choline bitartrate and 0.004% BHT. Diets were
15 identical except for the amino acid composition of the dietary protein component which differed as detailed previously [16]. The residual n-3 fatty acid content of cod protein have been measured, and while the amount of 18:3 and 22:5 were not detectable, the amounts of 20:5 and 22:6 were 114 µg/100 g and 164 µg/100 g of diet respectively. These very
20 low amounts are considered negligible in this experiment. The energy content of the diet was measured in an automatic adiabatic calorimeter (Model 1241; Parr Instruments, Moline, IL) and found to be isoenergetic: casein (25.52 kJ/g), soy (25.25 kJ/g), cod (25.38 kJ/g). The protein content (N x 6.25) was assayed by Kjeldahl Foss autoanalyser (Model
25 1612; Foss Co., Hillerod, Denmark). The level of protein in the purified diets was adjusted to an isonitrogenous basis at the expense of carbohydrates. A control chow-fed group was studied identically to those on the high-fat diets. This group was included in this study to assess the

extent of insulin resistance induce by high-fat feeding. According to the manufacturer the chow diet contained, in percent of calories, 57.3% carbohydrate, 18.1% protein and 4.5% fat and 14.3 kJ/g (Charles River rodent chow 5075, Purina Mills, Strathroy, ON, Canada). Food intake was
5 estimated every day and body weight was measured weekly.

Whole-body glucose disposal and individual tissues glucose uptake rates.

Whole-body insulin-mediated glucose disposal was assessed by the hyperinsulinemic euglycemic clamp technique, as previously described
10 [33]. Briefly, catheters were inserted into the left jugular vein and into the right carotid artery. Rats were allowed to recover from surgery for 4-5 days before the clamp procedure was performed. Rats were fasted overnight (12-14 hours) before receiving infusion of saline (basal) or insulin at 4 $\text{mU} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ for 140 min using a syringe pump (Razel, Stamford, CT).
15 The venous catheter was used for the multiple infusions, while blood samples were obtained from the carotid artery.

In vivo basal and insulin-stimulated glucose uptake rates in individual tissues of clamped rats were determined by measuring the incorporation
20 of 2- ^3H DG, as described in detail previously [33]. Briefly, 2- ^3H DG and ^{14}C -sucrose were administrated together as an intravenous bolus 120 min after the start of the insulin infusion. Blood samples were obtained at 5, 7.5, 10, 12.5, 15, 17.5 and 20 min after bolus administration for determination of plasma disappearance rates of 2- ^3H DG and ^{14}C -
25 sucrose. At the completion of the clamp, rats were quickly killed by decapitation and tissues were rapidly removed, weight and frozen into liquid nitrogen and stored at -80°C for subsequent analysis. The accumulation of 2- ^3H DG in tissues, corrected for extracellular space with

^{14}C sucrose, was used as an index of glucose uptakes rates as described previously [33].

Cell culture and in vitro glucose uptake. L6 skeletal muscle cells (kind gift
5 of Dr. A. Klip, Hospital for Sick Children, Toronto) were grown and
differentiated as described previously [51]. Fully differentiated myotubes
were serum-deprived 5 h prior to glucose transport experiments. Cells
were incubated for 1 h in Earle's balanced salt solution (EBSS) containing
mixtures of amino acids corresponding to those found in rats that
10 consumed either casein, soy protein, cod protein or a standard chow diet.
Insulin or medium alone (controls) was added during the last 45 min of the
treatment. The concentration of each amino acid was previously
determined in rats fed one of the three purified diets varying in protein
source, namely casein, cod protein, soy protein for 28 d. Fasted rats
15 received a 5 g meal of their assigned experimental diet for 30 min. The
mean concentrations of amino acids 30 min post-meal were as follows: for
casein, cod protein, soy protein and chow groups respectively : alanine,
663, 563, 501, 603 μM ; arginine, 150, 194, 176, 136 μM ; asparagine, 129,
111, 121, 107 μM ; aspartate, 26, 21, 20, 19 μM ; cysteine, 23, 29, 24, 15
20 μM ; glutamate, 110, 114, 210, 103 μM ; glutamine, 1263, 925, 1027, 1370
 μM ; glycine, 215, 255, 272, 381 μM ; histidine, 76, 67, 68, 58 μM ;
isoleucine, 115, 90, 98, 89 μM ; leucine, 170, 122, 130, 131 μM ; lysine,
470, 440, 386, 373 μM ; methionine, 98, 102, 67, 76 μM ; phenylalanine,
104, 76, 82, 76 μM ; proline, 366, 189, 222, 284 μM ; serine, 291, 217, 270,
25 283 μM ; threonine, 366, 260, 277, 386 μM ; tyrosine, 112, 66, 85, 90 μM ;
valine, 230, 163, 166, 176 μM . After treatment with amino acids with or
without insulin, cells were rinsed once with glucose-free Hepes-buffered
saline solution pH 7.4 (140 mM NaCl, 20 mM Hepes-Na, 5 mM KCl, 2.5

mM MgSO₄, 1 mM CaCl₂) and subsequently incubated for 8 min with 10 μ M 2-deoxy-D-glucose containing 0.3 μ Ci/ml 2-[³H]DG in the same buffer, as described previously [51].

5 *TNF- α protein expression in adipose tissue and skeletal muscle.*

Enzyme-linked immunosorbent assays (ELISA) were used for the detection of TNF- α in tissue extracts. Epididymal white adipose tissue was homogenized with a glass tissue grinder (Kontes, Vineland, NJ) in lysis buffer (20 mM imidazole, pH 6.8, 100 mM KCl, 1 mM EGTA, 10 mM NaF,
10 0.2% Triton X-100, 1 mM PMSF and protease inhibitor cocktail) and centrifuged at 2500 x g for 10 min. Mixed gastrocnemius muscle was homogenized with a polytron in 6 volumes of lysis buffer (20 mM Tris, pH 7.5, 140 mM NaCl, 1 mM MgCl₂, 1 mM CaCl₂, 10% glycerol, 1 mM PMSF and protease inhibitor cocktail), solubilized with 1% NP-40 for 1 hour at
15 4°C and centrifuged at 14 000 x g for 10 min. ELISA was carried out using a TNF- α antibody (Pharmingen Canada, Mississauga, Ont., Canada). Streptavidin-HRP conjugate and 3,3',5,5'-tetramethylbenzidine (TMBA) were purchased from RDI (Flanders, NJ). Secondary antibodies were biotinylated with NHS-LC-biotin (Pierce, Rockford, IL). Recombinant TNF-
20 α was used for the standard curves, using an antibody against recombinant TNF- α (R&D Systems, Minneapolis, MN). Values for skeletal muscle were corrected for protein content determined by the bicinchoninic acid method using BSA as the standard. Adipose tissue TNF- α levels were corrected for DNA content obtained as follows. Adipose tissue was
25 homogenized in Tris buffer (150 mM NaCl, 0.1% Triton X-100, 10 mM Tris, pH 8.0) and incubated at 37°C for 2 hrs with 0.1% SDS 100 μ g/ml proteinase K and 10 mM EDTA. DNA was extracted with 1 vol. of phenol-CHCl₃ and precipitated in 2 vol. of ethanol and 0.1 vol. of 5 mM NaCl.

Pelleted DNA was washed in 70% ethanol and resuspended in water. DNA content was determined spectrophotometrically (260 nM).

Analytical determination. Plasma glucose determination was measured
5 using the glucose oxidase method, with a Beckman glucose analyser
(Beckman Instruments, Palo Alto, CA). Plasma insulin and leptin
concentrations were measured by radioimmunoassay using a rat insulin
and rat leptin specific kit from Linco (St. Louis, MO). Non-esterified fatty
acids were determined enzymatically (Wako Chemicals, Richmond, VA).
10 Amino acid concentrations of plasma samples were determined after
deproteinization as reported by Galibois et al [36] and were analysed by
ion-exchange chromatography using a Beckman amino acid analyzer
(Palo Alto, CA) model 6300. The residual n-3 fatty acid levels in cod
protein preparation was determined by capillary gas chromatography
15 according to the method of Luddy et al [52].

Statistical analysis. Values are expressed as mean \pm SE. ANOVA
analyses and Duncan new multiple range test were applied to determine
differences between means. $P < 0.05$ was accepted as statistically
20 significant. The chow-fed animal group was not included in the statistical
analysis because it was only used to assess the extent of insulin
resistance in high-fat fed rats.

RESULTS

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Effect of dietary proteins on physiological parameters of high-fat fed rats

Body weight, energy intake, fasting and clamped plasma glucose and

insulin concentrations were similar among high-fat fed dietary groups (Table 6). Fasting plasma concentrations of non-esterified free fatty acids (casein: 0.55 ± 0.06 , cod: 0.64 ± 0.07 , soy: 0.61 ± 0.05 mM) or leptin (casein: 3.25 ± 0.25 , cod: 3.78 ± 0.36 , soy: 4.25 ± 0.30 ng/ml) were also
5 similar in the high-fat fed animals. As expected, all basal (pre-clamp) parameters were higher in high-fat fed rats as compared to chow-fed animals, used for comparison purposes in the present study.

10 Effect of dietary proteins on whole-body and individual tissue insulin action in high-fat fed rats

The effect of dietary proteins on insulin-mediated whole-body glucose disposal in high-fat fed rats was determined during euglycemic clamps in which plasma insulin was either kept at fasting levels (~ 0.2 - 0.3 nM)
15 (saline infusion) or raised to sub-physiological concentrations (~ 0.7 - 0.8 nM) by constant infusion of insulin ($4 \text{ mU} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$) (see Table 6). Figure 7 shows the insulin-mediated glucose infusion rates (GIR_{60-120}) that were required to maintain pre-clamp glucose values. The GIR_{60-120} was $\sim 40\%$ and $\sim 60\%$ lower ($P < 0.05$) in casein- and soy protein-fed groups,
20 respectively, as compared to cod protein-fed obese rats. In the latter group, the GIR_{60-120} was found to be similar to that observed in the reference chow-fed non-obese group (dotted line).

The effects of casein, cod or soy proteins on basal and insulin-stimulated
25 glucose uptake in individual tissues of high-fat fed obese rats are shown in Figures 8 and 9. As compared to chow-fed lean animals (dotted line), high-fat fed obese rats consuming casein or soy proteins exhibited markedly decreased insulin-stimulated 2- $[\text{}^3\text{H}]\text{DG}$ uptake in all skeletal muscles. In starked contrast, cod protein-fed obese rats showed increased

insulin action on 2-[³H]DG uptake as compared to casein or soy protein-fed groups, to a level that was comparable to that observed in chow-fed controls. The effect of cod protein on insulin-stimulated 2-[³H]DG uptake was similar in muscles enriched with either oxidative-type I fibers (e.g. soleus), oxidative-glycolytic-type IIa fibers (e.g. red gastrocnemius) or glycolytic-type IIb fibers (e.g. white gastrocnemius). Similar results were observed in cardiac muscle (Figure 8). Basal 2-[³H]DG uptakes in skeletal or cardiac muscles of obese rats were not affected by the source of dietary proteins (Figures 8-9) and were not different than that measured in chow-fed animals (data not shown).

We also examined the effects of dietary proteins on insulin-stimulated 2-[³H]DG uptake in brown and white adipose tissues (Figure 9). It should be noted that glucose uptake values were much greater in brown adipose tissue than white adipose tissues whatever the diet consumed (cf y axes in Fig. 9A vs 9B), in accordance with previous studies [33]. High-fat feeding was associated with impaired insulin action in brown fat of both casein and soy-protein fed rats, as compared to chow-fed controls. Cod protein-fed obese animals showed an improved insulin-mediated glucose uptake, but it failed to reach the level of significance as compared to the other dietary groups. Surprisingly, cod protein feeding failed to increase insulin-stimulated glucose uptake in white adipose tissues of high-fat fed obese rats (Figure 9B). As compared to chow-fed controls, insulin-mediated 2-[³H]DG uptake was comparably reduced in all high-fat fed groups. Basal 2-[³H]DG uptake rates in adipose tissues were not affected by dietary proteins (Figure 9B) and were not different as compared to chow-fed rats (data not shown).

As expected, high-fat fed obese animals showed increased (2-3 times) epididymal, retroperitoneal, and interscapular brown fat weights as compared to chow-fed controls (Figure 10A). However, no differences were observed in adiposity among dietary protein-fed groups. Moreover, the weights of the heart and skeletal muscles were not significantly different among obese rats on either protein sources and were similar to the weight of those tissues in chow-fed rats (data not shown). In accordance with previous studies [53] TNF- α protein levels were greater in adipose tissue of obese rats as compared to chow-fed rats (Figure 10B). However, adipose tissue TNF- α levels were similar in casein, cod protein or soy protein-fed obese rats. Skeletal muscle TNF- α concentrations were also similar between obese animals on the different protein sources and were not different than that found in muscle of chow-fed rats (Figure 10C).

15

Cod protein may exert its beneficial effect on insulin sensitivity by a direct action of cod protein-derived amino acids on insulin-stimulated glucose uptake in skeletal muscle cells. To test this possibility, we have exposed cultured L6 myocytes to amino acids (AA) mixtures corresponding to the concentrations of plasma amino acids in rats fed chow, casein, cod, or soy protein diets. Cells were incubated with the AA mixtures for one hour before measuring insulin-stimulated glucose uptake rates. When compared to AA mixtures corresponding to rats fed casein or soy proteins, muscle cells exposed to the cod-derived AA mixture showed improved insulin action on glucose uptake (Figure 11). The increasing effect of cod-derived AA was observed at all doses of insulin tested and were statistically significant at 10, 50, and 500 nM versus casein- and/or soy-derived AAs. The stimulatory effects of insulin on glucose uptake in cells

20

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exposed to cod-derived AA mixture was similar to cells incubated with AA mixture corresponding to that of chow-fed rats (data not shown). The insulin sensitivity index (EC50), calculated from individual dose-response curves, further showed that insulin sensitivity was increased in L6 myocytes exposed to the cod-derived AA as compared to the soy-derived AA mixture (insert, Figure 11). However, no differences in insulin sensitivity were observed between muscle cells exposed to cod- or casein-derived AA mixtures.

10 **DISCUSSION**

The results of the present study show that the consumption of dietary cod protein prevents the development of peripheral insulin resistance in rats rendered obese by feeding a high-fat/sucrose diet. Indeed, in contrast with obese rats consuming either casein or soy protein, cod protein-fed obese rats exhibited higher insulin-mediated whole-body glucose disposal rates that were comparable to that of non-obese chow-fed rats. It is well established that skeletal muscle is a major site of insulin resistance when rats are fed a high-fat/sucrose diet [54]. Measurements of individual tissue glucose uptake with 2-[³H]DG revealed that cod protein protects from the development of peripheral insulin resistance by improving insulin-stimulated glucose uptake in skeletal and cardiac muscles of high-fat fed animals. Similar results were obtained for muscles enriched with either oxidative (slow-type I), oxidative-glycolytic (fast-type IIa) or glycolytic (fast-type IIb) fibers, suggesting that the beneficial effect of cod protein is not dependent on the metabolic or contractile nature of the muscles. Furthermore, dietary proteins failed to affect basal 2-[³H]DG uptake rates in any of the muscles investigated, thus indicating that cod protein

specifically modulates insulin action on glucose uptake rather than glucose uptake per se.

It has been previously reported that n-3 fatty acids derived from fish oil
5 improve insulin sensitivity in insulin-resistant obese rats [27, 55-58].
However, it is very unlikely that the preventive effect of cod protein on
insulin resistance could be attributed to the trace amounts of n-3 fatty
acids found in the purified cod protein isolate. Indeed, we have measured
that the amounts of n-3 fatty acids in our cod protein diet are 150 times
10 lower than the lowest dietary n-3 fatty acid levels that have been shown
to improve insulin sensitivity in rats (6% of total calories) [27]. Moreover,
our finding that the cod-derived amino acid mixture increased insulin-
stimulated glucose uptake in cultured L6 myocytes indicates that at least
part of the effects of cod protein on muscle insulin sensitivity is mediated
15 by the amino acids and not the trace amounts n-3-fatty acids present in
the cod protein diet.

While consuming cod protein totally abrogated the insulin-resistant effect
of a high-fat/sucrose diet, feeding soy protein under the same conditions
20 failed to prevent the occurrence of skeletal muscle insulin resistance. This
is in contrast with our previous observations of a beneficial effect of soy
protein on fasting insulin concentrations in rats fed a low-fat sucrose diet
[59]. Moreover, we have recently observed that both cod and soy protein
feeding improves glucose tolerance and insulin sensitivity in rats fed a
25 medium-fat sucrose diet [60]. The differential effect of soy protein on
insulin sensitivity in rats fed diets high or medium in fat suggests that
distinct defects are responsible for the deterioration of insulin sensitivity in
these insulin-resistant nutritional models and that consuming cod protein,

unlike soy protein, can alleviate the metabolic defect in both models.

High-fat feeding caused marked insulin resistance for glucose uptake in both muscles and adipose tissues as compared to chow-fed animals.

5 However, cod protein prevented the development of insulin resistance only in skeletal and cardiac muscles and not in white adipose tissues. Despite the lack of action of cod protein on white fat, insulin-mediated whole-body glucose disposal was completely normalized in obese rats consuming cod protein. These results are in line with the fact that adipose tissue

10 contributes to a minor fraction of total glucose disposal after a meal or during insulin stimulation [61]. However, the lack of prevention of insulin resistance in adipose tissue of high-fat fed rats may help to explain the finding that fasting insulin levels are still elevated in cod protein-fed animals, despite improved insulin action in muscles. Furthermore, fasting

15 hyperinsulinemia may also be explained by reduced hepatic insulin action or by altered insulin secretion since these defects have also been reported in high-fat fed rats [62, 63].

The beneficial effect of dietary cod protein on skeletal muscle insulin

20 sensitivity was observed even in the face of similar body weight gain and visceral adipose tissue accretion as compared to casein- or soy protein-fed rats. These results strongly suggest that dietary cod protein prevented the causal link between visceral obesity and the development of peripheral insulin resistance. Several factors have been postulated to be responsible

25 for the development of insulin resistance in obesity [see [64]]. One molecule that has received considerable attention is the cytokine TNF- α . There is accumulating evidence implicating TNF- α as a candidate mediator of obesity-associated insulin resistance (see [65] for a recent

review). TNF- α is expressed at high levels in the enlarged adipose tissue from virtually all rodent models of obesity, as well as in obese humans [66-68]. The cytokine has also been reported to be overexpressed in muscle cells isolated from NIDDM subjects [69]. Moreover, genetic ablation of

5 TNF- α or TNF- α function was reported to improve insulin sensitivity in various animal models of insulin resistance, including the high-fat fed mouse [69, 70]. However, there is also data to support a protective role for TNF- α receptors (p55 and p75) in obesity-linked diabetes since high-fat

10 fed mice deficient in both receptors exhibited higher fasting hyperinsulinemia and glucose intolerance as compared to high-fat fed wild-type mice [71]. In the present study, we confirmed that TNF- α protein expression is increased in adipose tissue of high-fat fed animals. Nevertheless, our data indicate that TNF- α expression was not reduced in cod-protein fed obese rats as compared to casein- or soy protein-fed

15 obese animals. Moreover, we found no evidence for TNF- α overexpression in skeletal muscle of high-fat fed obese rats, whatever the dietary protein sources, as compared to chow-fed animals. Taken together, these data indicate that the preventive effects of cod protein on skeletal muscle insulin resistance is unlikely to be explained by changes

20 in adipose or muscle TNF- α expression in high-fat fed obese rats.

Another potential mechanism of obesity-associated insulin resistance is an increased availability of free fatty acids (FFA). Although we failed to observe any differences in fasting FFA levels between high-fat fed and

25 chow-fed rats, it is likely that local lipid availability is increased in skeletal muscle of high-fat fed animals, as previously reported [63]. Elevated local lipid availability may inhibit insulin-stimulated glucose utilization through substrate competition for oxidation (the glucose-fatty acid cycle) [63]

and/or by increasing the flux of fructose-6-phosphate into the hexosamine pathway [72]. In this regard, both hyperlipidemia and glucosamine infusion (used to increase the hexosamine biosynthetic pathway) have been shown to induce leptin gene expression in skeletal muscle [73]. Increased
5 muscle leptin expression could result in impaired insulin-mediated glucose utilization since leptin increases lipid oxidation in skeletal muscle [74, 75]. On the other hand, leptin induction in skeletal muscle of high-fat fed animals may represent a protective adaptation to limit intramuscular triglyceride accumulation as recently proposed by Unger and colleagues
10 [76, 77]. Ongoing studies are in progress to test the effects of cod protein on muscle leptin expression and intramyocellular triglyceride accumulation and their potential role in improving insulin sensitivity in high-fat fed obese rats.

15 Despite the possible implications of the aforementioned mechanisms in the prevention of obesity-induced insulin resistance, our finding that cod-derived amino acids can also increase insulin-stimulated glucose uptake in cultured L6 myocytes strongly suggest that a significant part of the beneficial effect of dietary cod protein on insulin-mediated glucose
20 disposal in obese rats can be explained by a direct action of individual or groups of amino acids on skeletal muscle. To the best of our knowledge, this is the first observation that different pools of amino acids, at concentrations found in the plasma of rats fed physiological amounts of dietary proteins, can differently modulate insulin-stimulated glucose uptake
25 in skeletal muscle cells. These effects were observed after only one hour of treatment strongly suggesting that transcriptional mechanisms are not involved in the modulatory actions of amino acids on insulin-stimulated glucose. Rather, the effects of amino acids on glucose uptake may involve

acute regulation of insulin signaling events and translocation of GLUT4 transporters. In support of this, Patti and colleagues [22] recently reported that exposure of cultured muscle and hepatic cells to a balanced mixture of amino acids down-regulated early insulin signaling steps critical for
5 glucose transport and inhibition of gluconeogenesis.

It will be important to define the role of individual or groups of amino acids in mediating the effects of cod-derived amino acid mixture on insulin-mediated glucose uptake. Several amino acids are found in different
10 concentrations in the plasma of rats fed casein, cod, or soy proteins (see Materials & Methods, Example 2). Of these amino acids, a potentially interesting candidate is glutamine since its concentration is selectively decreased in cod protein-fed as compared to casein or soy protein-fed animals. Interest for glutamine as a potential modulator of insulin action
15 arises from the pioneering work of Traxinger and Marshall [19] who observed a marked desensitization of the glucose transport system in adipocytes incubated in a defined buffer containing high concentrations of both insulin and glucose, plus 15 amino acids found in Dulbecco's modified Eagle's medium (DMEM). Of the 15 amino acids, glutamine was
20 found to be fully effective in mediating loss of insulin action on glucose transport. It has been further reported that glutamine exposure also inhibits insulin-stimulated glucose transport in skeletal muscle and that it promotes insulin resistance by routing glucose through the hexosamine pathway [19, 78-82]. However, two observations made in this study argue
25 against a role of the hexosamine pathway in the modulation of insulin action by dietary proteins. First, the hexosamine pathway is also operative in adipocytes but we found no effect of cod protein on insulin-stimulated glucose uptake in white adipose tissues. Second, the amino acid-

dependent modulation of insulin action in L6 myocytes was observed within one hour of treatment, which is not likely to cause build-up of hexosamines in cells treated with casein- or soy-derived amino acid mixtures.

5

In summary, the present study shows that dietary cod protein prevents the development of skeletal muscle insulin resistance in high-fat fed obese rats. The beneficial action of cod protein on insulin sensitivity occurred without reductions in body weight or adiposity, strongly suggesting that
10 cod protein protects from obesity-induced insulin resistance. The effect of dietary cod protein appears to involve, at least in part, a direct action of cod protein-derived amino acids on insulin-stimulated glucose transport in skeletal muscle cells. Interest in the present data also arises from the fact that increased cod protein consumption can be implemented in humans
15 within guidelines of daily recommended allowances of essentials nutrients [83, 84] and thus could represent a novel nutraceutical approach to prevent the development of insulin resistance in obesity. Since insulin resistance is a central factor in visceral obesity-associated complications such as hypertension, diabetes and cardiovascular diseases [1, 64, 85],
20 dietary cod protein may also prevent the plenium of metabolic aberrations that accompany the obese state.

Fish and/or soy dietary proteins, or their hydrolysis peptides or amino acids may be used in the preparation of compositions for use in the
25 treatment of hyperglycemia (or diabetes) and/or insulin resistance in human and non-human animals.

When any of the active ingredients (e.g. fish and/or soy dietary proteins, or their hydrolysis peptides or amino acids) are administered orally as a suspension, these compositions are prepared according to techniques well-known in the art of pharmaceutical formulation and may contain

5 microcrystalline cellulose for imparting bulk, alginic acid or sodium alginate as a suspending agent, methylcellulose as a viscosity enhancer, and sweeteners/flavoring agents known in the art. As immediate release tablets, these compositions may contain microcrystalline cellulose, dicalcium phosphate, starch, magnesium stearate and lactose and/or

10 other excipients, binders, extenders, disintegrants, diluents and lubricants known in the art.

When administered by nasal aerosol or inhalation, these compositions are prepared according to techniques well-known in the art of pharmaceutical

15 formulation and may be prepared as solutions in saline, employing benzyl alcohol or other suitable preservatives, absorption promoters to enhance bioavailability, fluorocarbons, and/or other solubilizing or dispersing agents known in the art.

20 The active ingredients may also be administered in intravenous (both bolus and infusion), intraperitoneal, subcutaneous, topical with or without occlusion, or intramuscular form, all using forms well known to those of ordinary skill in the pharmaceutical arts. When administered by injection, the injectable solutions or suspensions may be formulated according to

25 known art, using suitable non-toxic, parenterally-acceptable diluents or solvents, such as mannitol, 1,3-butanediol, water, Ringer's solution or isotonic sodium chloride solution, or suitable dispersing or wetting and suspending agents, such as sterile, bland, fixed oils, including synthetic

mono- or diglycerides, and fatty acids, including oleic acid.

When rectally administered in the form of suppositories, these compositions may be prepared by mixing the active ingredients with a
5 suitable non-irritating excipient, such as cocoa butter, synthetic glyceride esters or polyethylene glycols, which are solid at ordinary temperatures, but liquidify and/or dissolve in the rectal cavity to release the active ingredients.

10 The active ingredients of the present invention may be administered as a pharmaceutical composition, for example, with an inert diluent, or with an assimilable edible carrier, or they may be enclosed in hard or soft shell capsules, or they may be compressed into tablets, or they may be incorporated directly with the food of the diet. For oral therapeutic
15 administration, which includes sublingual administration, these active compounds may be incorporated with excipients and used in the form of tablets, pills, capsules, ampules, sachets, elixirs, suspensions, syrups, and the like. The active compounds can also be administered intranasally as, for example, liquid drops or spray.

20

The effective dosage of each of the active ingredients employed in the combination may vary depending on the particular compound employed, the mode of administration, the condition being treated and the severity of the condition being treated. Thus, the dosage regimen utilizing the
25 compounds of the present invention is selected in accordance with a variety of factors including type, species, age, weight, sex and medical condition of the patient; the severity of the condition to be treated; the route of administration; the renal and hepatic function of the patient; and

the particular compound thereof employed. A physician, clinician or veterinarian of ordinary skill can readily determine and prescribe the effective amount of the active compounds required to prevent, counter or arrest the progress of the condition.

5

The tablets, pills, capsules, and the like may also contain a binder such as gum tragacanth, acacia, corn starch or gelatin; excipients such as dicalcium phosphate; a disintegrating agent such as corn starch, potato starch, alginic acid; a lubricant such as magnesium stearate; and a
10 sweetening agent such as sucrose, lactose or saccharin. When a dosage unit form is a capsule, it may contain, in addition to materials of the above type, a liquid carrier such as a fatty oil.

15

Various other materials may be present as coatings or to modify the physical form of the dosage unit. For instance, tablets may be coated with shellac, sugar or both. A syrup or elixir may contain, in addition to the active ingredient, sucrose as a sweetening agent, methyl and propylparabens as preservatives, a dye and a flavoring such as cherry or orange flavor.

20

These active compounds may also be administered parenterally. Solutions or suspensions of these active compounds can be prepared in water suitably mixed with a surfactant such as hydroxypropylcellulose. Dispersions can also be prepared in glycerol, liquid polyethylene glycols
25 and mixtures thereof in oils. Under ordinary conditions of storage and use, these preparations contain a preservative to prevent the growth of microorganisms.

The pharmaceutical forms suitable for injectable use include sterile aqueous solutions or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. In all cases, the form must be sterile and must be fluid to the extent that
5 easy syringability exists. It must be stable under the conditions of manufacture and storage and must be preserved against the contaminating action of microorganisms such as bacteria and fungi. The carrier can be a solvent or dispersion medium containing, for example, water, ethanol, polyol (e.g. glycerol, propylene glycol and liquid
10 polyethylene glycol), suitable mixtures thereof, and vegetable oils.

Of course, it should be understood that various changes and modifications to the sources of dietary fish and soy proteins, protein hydrolysis or amino
15 acid compositions described herein will be apparent to persons skilled in the art. For instance, the dietary protein components of the present invention need not be derived from our standard sources (ethyl-ether delipidated cod protein, commercial soy protein sources), since some of the benefit sought can be supplied by fish and soy proteins derived from
20 any source. Nor do the advantages and uses of the present invention need to be limited to usage on diabetic patients -- other patients can easily benefit from the advantages offered by amino acids derived from fish and soy proteins. Such changes and modifications to the use and design of the present invention can be made without departing from its spirit and
25 scope and without diminishing its attendant advantages. It is, therefore, intended that all such changes and modifications be covered by the appended claims.

Table 1. Composition of the purified diets

Ingredients	Casein	Soy Protein	Cod Protein
Casein	22.78		
Soy protein		22.90	
Cod protein			21.87
Sucrose	55.52	55.40	56.43
Coconut oil	10	10	10
Corn oil	1	1	1
Cholesterol	1	1	1
Cellulose	5	5	5
Vitamin mix	1	1	1
Mineral mix	3.5	3.5	3.5
Choline bitartrate	0.2	0.2	0.2

5 Units are g/100 g. Casein, highly purified casein (ICN Biochemicals): 88% protein, 0.07% lipid; soy protein, soy protein isolate (ICN Biochemicals): 87% protein, 0.30% lipid; cod protein, cod protein prepared in our laboratory: 91% protein, 0.19% lipid; vitamin mix, vitamin mix (Harlan Teklad) contained (mg/kg diet) 39.7 retinyl palmitate, 4.4 ergocalciferol, 485 α -tocopheryl acetate, 987 ascorbic acid, 110.2 l-inositol, 3,715 choline dehydrogen citrate, 49.6 menadi-one, 110.1 p-aminobenzoic acid, 99.2 niacin, 22

10 riboflavin, 22 pyridoxin HCl, 22 thiamine HCl, 66.1 calcium pantothenate, 0.44 biotin, 1.98 folic acid and 29.7 vitamin B-12; mineral mix, mineral mix AIN-76 (ICN Biochemicals) contained (g/kg diet) 500 CaHPO₄, 74 NaCl, 220 K₃C₆H₅O₇ · H₂O, 52 K₂SO₄, 24 MgO, 3.5 MnCO₃, 6.0 ferric citrate, 1.6 ZnCO₃, 0.3 CuCO₃, 0.01 KIO₃, 0.01 Na₂SeO₃ · 5 H₂O, 0.55 CrK(SO₄)₂ · 12H₂O, and 118 sucrose.

15

Table 2. Amino acid composition of protein sources

	Casein	Cod Protein	Soy Protein
Alanine	3.40	6.74	4.49
Arginine	3.23	6.29	7.45
Aspartic acid	7.99	11.14	11.48
Glutamic acid	20.12	16.75	18.79
Glycine	2.09	5.39	4.33
Histidine	2.83	2.27	2.94
Isoleucine	3.32	3.24	3.68
Leucine	8.75	8.31	7.93
Methionine	2.04	1.98	0.66
Lysine	7.76	9.41	6.60
Phenylalanine	5.25	4.22	5.51
Proline	10.72	4.42	5.62
Serine	6.12	5.55	6.03
Threonine	4.29	4.84	4.27
Tyrosine	5.76	4.31	3.95
Valine	4.52	3.86	3.96
BCAA	16.59	15.41	15.57
EAA	38.76	38.13	35.55

- 5 Data are means of 3 determinations; units are g amino acid/100 g of amino acids. BCAA, sum of branched-chain amino acids: leucine, isoleucine, and valine; EAA, sum of essential amino acids: histidine, isoleucine, leucine, methionine, lysine, phenylalanine, threonine, and valine.

Table 3. Physiological parameters and food intake of rats fed the purified diets

	Casein	Cod Protein	Soy Protein
5			
	Food intake, g · day ⁻¹ · animal ⁻¹ (n=20-21)		
	20.8±0.6*	20.0±0.5*	20.4±0.8*
10			
	Weight gain, g · day ⁻¹ · animal ⁻¹ (n=20-21)		
	5.4±0.3*	5.3±0.3*	5.1±0.3*
15			
	Food intake last meal, g/animal (n=7-10)		
	3.8±0.8*	3.7 ±0.4*	3.8±0.8*
20			
	Fasting glucose, mmol/l (n=20-21)		
	6.8±0.2*	6.1±0.2†	6.1±0.2†
25			
	Fasting insulin, nmol/l (n=20-21)		
	0.62±0.1*	0.30±0.1†	0.31±0.1†
30			
	Postclamp glucose, mmol/l (n=3-4)		
	6.6±0.3*	6.1±0.4*	5.5±0.4*
	Postclamp insulin, nmol/l (n=3-4)		
	0.98±0.14*	0.74±0.14*	0.82±0.15*

Values are means ±SE; n, no. of rats. Values bearing same superscript were not significantly different (P < 0.05) according to Duncan's new multiple range test.

Table 4. *Hepatic insulin extraction (C-peptide/insulin molar ratio) in rats fed experimental diets for 28 days*

	Casein	Cod Protein	Soy Protein
5			
Fasting	1.42±0.13†	2.53±0.44*	2.25±0.46*
Postprandial			
30 min	0.95±0.08†	1.50±0.21*	1.59±0.25*
120 min	0.97±0.12*	1.01±0.08*	1.14±0.13*

10

Values are means ± SE; *n* = 7–10 animals. Values bearing same superscript were not significantly different (*P* < 0.05) according to Duncan's new multiple range test.

Table 5. *Plasma amino acid concentrations in fasted rats ($\mu\text{mol/L}$)*

	Casein	Cod protein	Soy protein
Alanine	326.26 \pm 19.39 ^a	301.52 \pm 15.12 ^a	305.88 \pm 11.77 ^a
Arginine	182.94 \pm 23.65 ^a	147.05 \pm 14.99 ^a	170.11 \pm 6.69 ^a
Aspartic acid	16.59 \pm 2.73 ^b	14.79 \pm 1.17 ^b	21.65 \pm 2.28 ^a
Citrulline	78.57 \pm 12.60 ^a	54.46 \pm 4.18 ^b	54.26 \pm 4.89 ^b
Glutamine	1397.50 \pm 229.83 ^a	1060.12 \pm 152.02 ^b	1129.18 \pm 105.40 ^a
Glycine	231.29 \pm 15.37 ^b	268.54 \pm 17.80 ^b	342.93 \pm 37.70 ^a
Histidine	69.51 \pm 5.78 ^a	53.86 \pm 6.46 ^b	74.78 \pm 5.47 ^a
Leucine	118.59 \pm 9.25 ^a	105.65 \pm 6.86 ^a	115.72 \pm 7.04 ^a
Lysine	398.28 \pm 23.20 ^a	391.50 \pm 28.15 ^a	383.43 \pm 14.08 ^a
Methionine	64.71 \pm 7.65 ^a	62.51 \pm 9.68 ^a	68.98 \pm 6.89 ^a
Proline	158.92 \pm 5.91 ^a	134.83 \pm 8.64 ^a	170.38 \pm 13.25 ^a
Serine	225.75 \pm 17.21 ^{ab}	206.42 \pm 10.61 ^b	266.25 \pm 13.33 ^a
Taurine	94.76 \pm 6.51 ^b	125.28 \pm 10.51 ^a	71.71 \pm 7.88 ^c
Tyrosine	68.53 \pm 8.41 ^a	64.55 \pm 4.90 ^a	76.07 \pm 2.98 ^a
Threonine	300.27 \pm 25.38 ^a	221.79 \pm 16.10 ^b	267.86 \pm 12.32 ^{ab}
Valine	162.52 \pm 14.27 ^a	130.66 \pm 8.28 ^a	151.88 \pm 10.60 ^a
LAR¹	2.33 \pm 0.25 ^a	2.76 \pm 0.20 ^a	2.27 \pm 0.10 ^a
BAA²	355.95 \pm 24.45 ^a	302.36 \pm 20.43 ^a	344.22 \pm 21.24 ^a
EAA³	1189.78 \pm 64.36 ^a	1046.87 \pm 62.54 ^a	1139.62 \pm 36.65 ^a
TAA⁴	4273.82 \pm 194.54 ^a	4120.54 \pm 161.30 ^a	4335.57 \pm 108.62 ^a

Values are expressed as means \pm SEM. Groups bearing a similar superscript are not significantly different ($p < 0.05$).

¹ LAR : Lysine arginine ratio.

5 ² BAA : Sum of branched-chain amino acids: leucine, isoleucine and valine.

³ EAA : Sum of essential amino acids except histidine.

⁴ TAA : Sum of total amino acids.

10 Table 6. Physiological parameters of experimental dietary groups.

Dietary groups	High-fat			Chow
	Casein	Cod protein	Soy protein	
Final body weight (g)	380 \pm 20	400 \pm 21	368 \pm 23	351 \pm 20
Energy intake (kJ \cdot day ⁻¹)	373 \pm 18	378 \pm 20	363 \pm 12	320 \pm 12
<u>Pre-clamp</u>				
Plasma glucose (mmol \cdot L ⁻¹)	8.9 \pm 0.2	8.3 \pm 0.3	8.2 \pm 0.3	7.2 \pm 0.3
Plasma insulin (nmol \cdot L ⁻¹)	0.25 \pm 0.03	0.26 \pm 0.04	0.26 \pm 0.05	0.13 \pm 0.02
<u>Hyperins. Clamp¹</u>				
Plasma glucose (mmol \cdot L ⁻¹)	8.5 \pm 0.4	8.2 \pm 0.6	8.0 \pm 0.3	7.2 \pm 0.4
Plasma insulin (nmol \cdot L ⁻¹)	0.68 \pm 0.09	0.79 \pm 0.19	0.69 \pm 0.13	0.58 \pm 0.08

¹Steady-state plasma glucose and insulin during the last 60 minutes of the hyperinsulinemic-euglycemic clamp. Values are means \pm SEM, n=7-9 per group. No statistically significant differences were observed among high-fat fed dietary groups by ANOVA analysis. Values for the chow-fed group are shown for comparison purposes.

15

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CLAIMS

1. A composition for treating hyperglycemia in a human or non-human animal comprising one or more compounds selected from the group consisting of: fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids.
5
2. A composition for treating insulin resistance in a human or non-human animal comprising one or more compounds selected from the group consisting of: fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids.
10
3. A composition as defined in claim 1 or 2, wherein said hyperglycemia and insulin resistance are the result of Type 1 or Type 2 diabetes.
15
4. A composition as defined in claim 1 or 2 wherein said compounds are fish protein.
20
5. A composition as defined in claim 1 or 2, wherein said compounds are soy protein.
6. A composition as defined in claim 1 or 2, wherein said compounds are fish protein and soy protein.
25

7. A composition as defined in claim 4 or 6, wherein said fish protein is cod fish protein.
- 5 8. A composition as defined in any one of claims 1 to 7, further comprising a pharmaceutically-acceptable carrier, adjuvant or vehicle.
- 10 9. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to restore normal insulin function in an insulin-resistant mammal.
- 15 10. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to prevent or treat hyperglycemia.
- 20 11. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to prevent or treat obesity complications.
- 25 12. The use of any one of claims 9 to 11, wherein said compounds are fish protein.
13. The use of any one of claims 9 to 11, wherein said compounds are soy protein.

14. The use of any one of claims 9 to 11, wherein said compounds are fish protein and soy protein.
- 5 15. The use of claim 12 or 14, wherein said fish protein is cod fish protein.
- 10 16. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to produce a medicament to restore normal insulin function in an insulin-resistant mammal.
- 15 17. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to produce a medicament to prevent or treat hyperglycemia.
- 20 18. The use of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids to produce a medicament to prevent or treat obesity complications.
- 25 19. The use of any one of claims 16 to 18, wherein said compounds are fish protein.

20. The use of any one of claims 16 to 18, wherein said compounds are soy protein.
21. The use of any one of claims 16 to 18, wherein said compounds are fish protein and soy protein.
22. The use of claim 19 or 21, wherein said fish protein is cod fish protein.
23. A method of preventing or treating insulin resistance in a human or non-human animal suffering therefrom, comprising the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids.
24. A method of preventing or treating hyperglycemia in a human or non-human animal, comprising the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids.
25. A method as defined in claim 23 or 24, wherein said insulin resistance or hyperglycemia is the result of Type 1 or Type 2 diabetes.

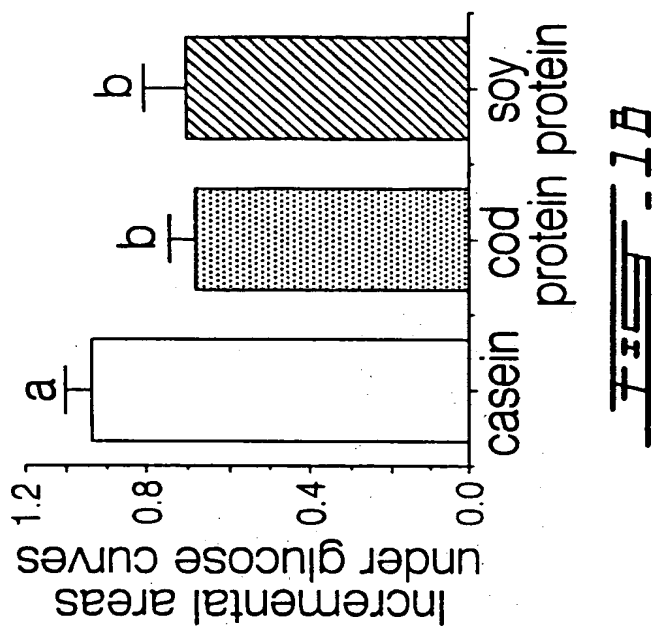
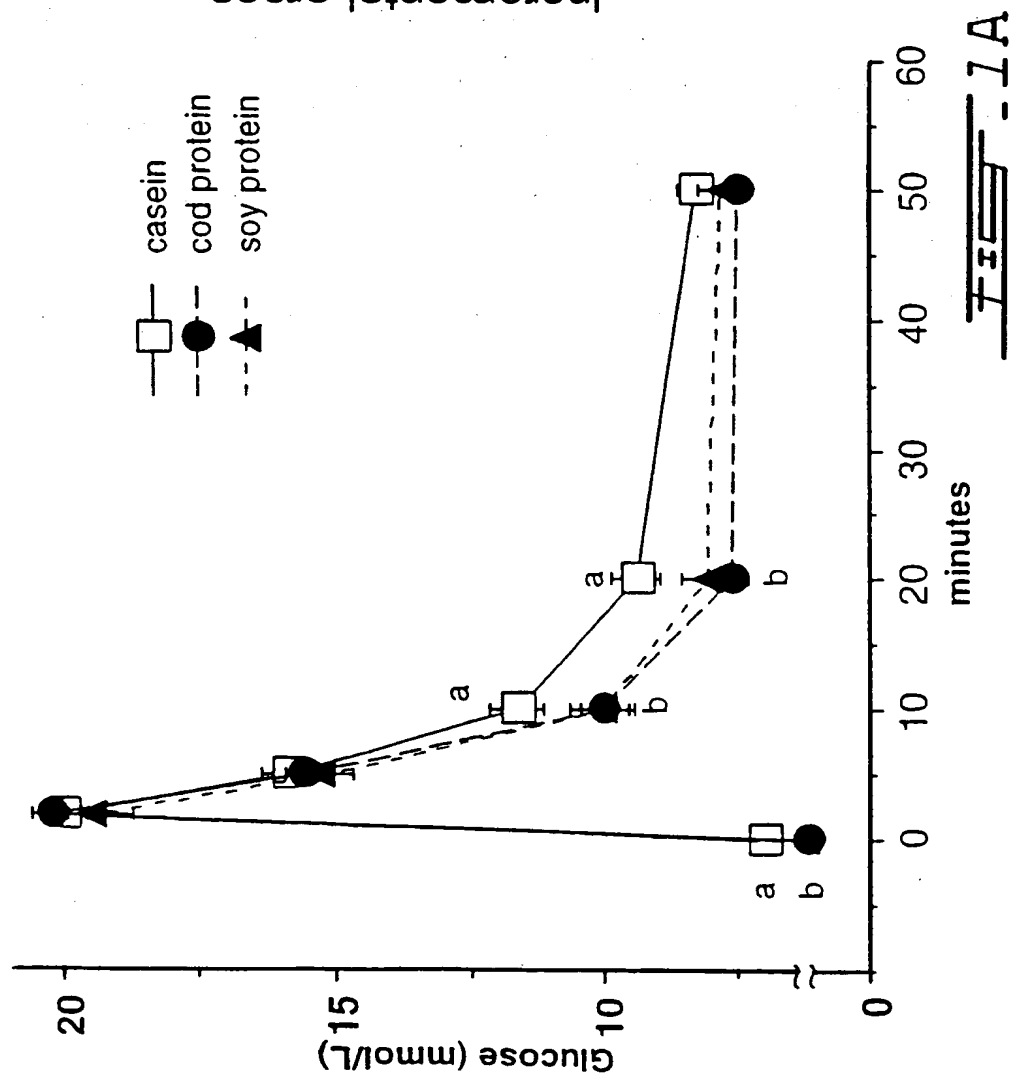
26. A method of preventing or treating obesity complications in a human or non-human animal, comprising the administration of an effective amount of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids.
27. A method as defined in any one of claims 23 to 26, wherein said compounds are fish protein.
28. A method as defined in any one of claims 23 to 26, wherein said compounds are soy protein.
29. A method as defined in any one of claims 23 to 26, wherein said compounds are fish and soy protein.
30. A method as defined in claim 27 or 29, wherein said fish protein is cod fish.
31. A method as defined in any one of claims 23 to 30, wherein said compounds are combined with a pharmaceutically-acceptable carrier, adjuvant or vehicle.
32. A method of preventing or treating insulin resistance in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids in a quantity that is about

4% to about 60% of said animal's diet.

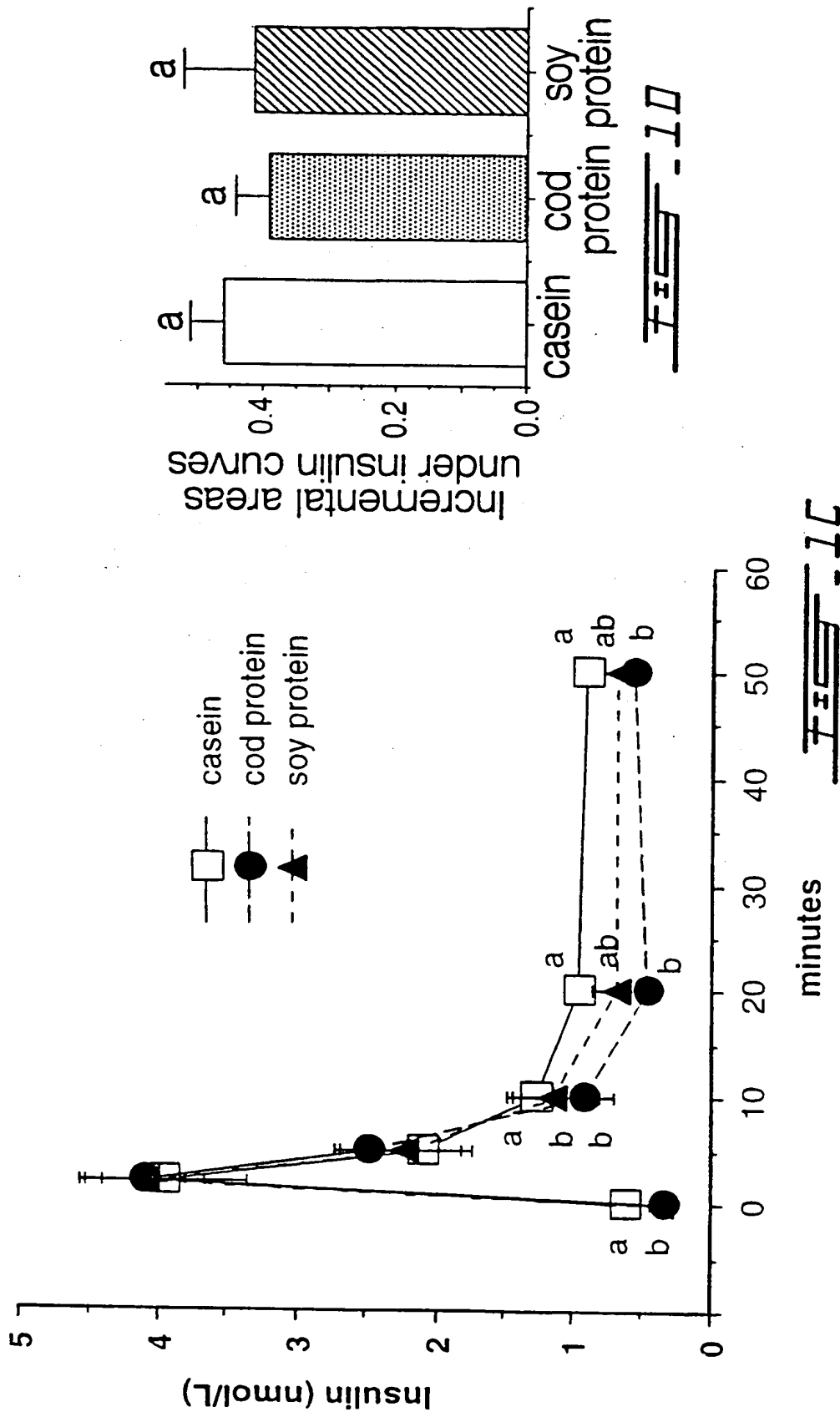
- 5 33. A method of preventing or treating hyperglycemia in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids in a quantity that is about 4% to about 60% of said animal's diet.
- 10 34. A method as defined in claim 32 or 33, wherein said insulin resistance or hyperglycemia is the result of Type 1 or Type 2 diabetes.
- 15 35. A method of preventing or treating obesity in a human or non-human animal comprising the consumption of one or more compounds selected from the group consisting of fish protein, soy protein, hydrolysed fish protein, hydrolysed soy protein, fish protein amino acids and soy protein amino acids in a quantity that is about 4% to about 60% of said animal's diet.
- 20 36. A method as defined in any one of claims 32 to 35, wherein said compounds are fish protein.
- 25 37. A method as defined in any one of claims 32 to 35, wherein said compounds are soy protein.
38. A method as defined in any one of claims 32 to 35, wherein said compounds are fish protein and soy protein.

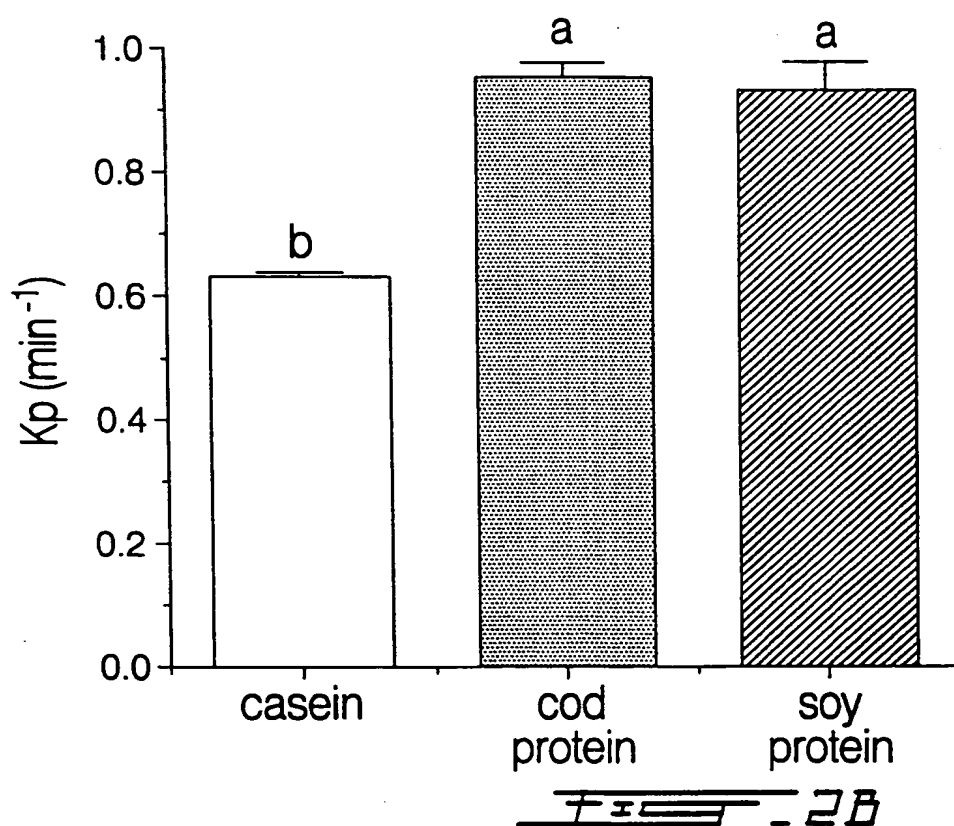
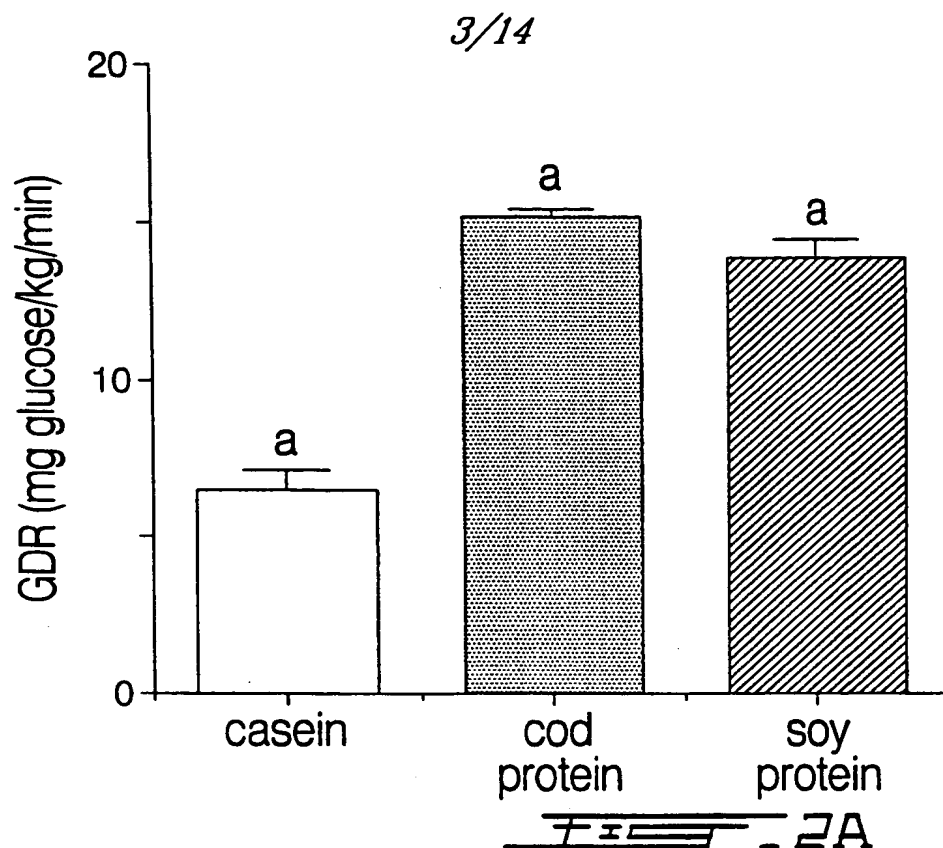
39. A method as defined in claim 36 or 38, wherein said fish protein is cod fish protein.

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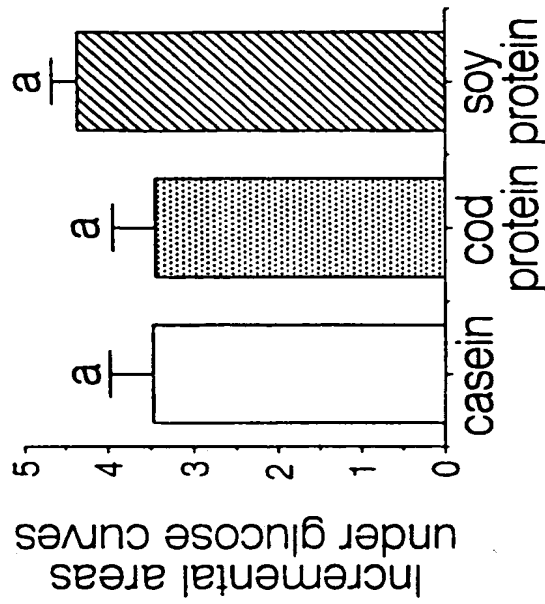
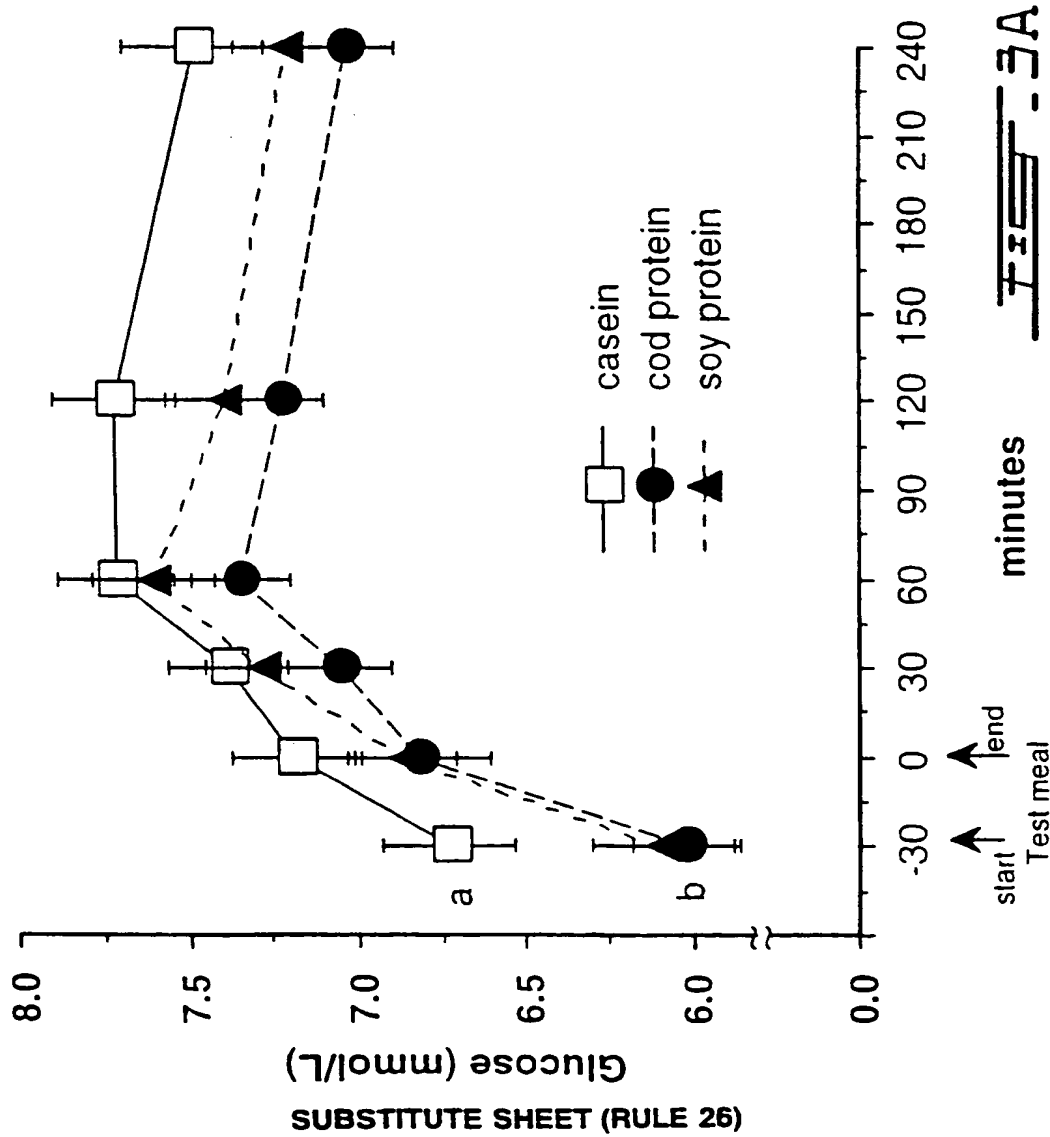
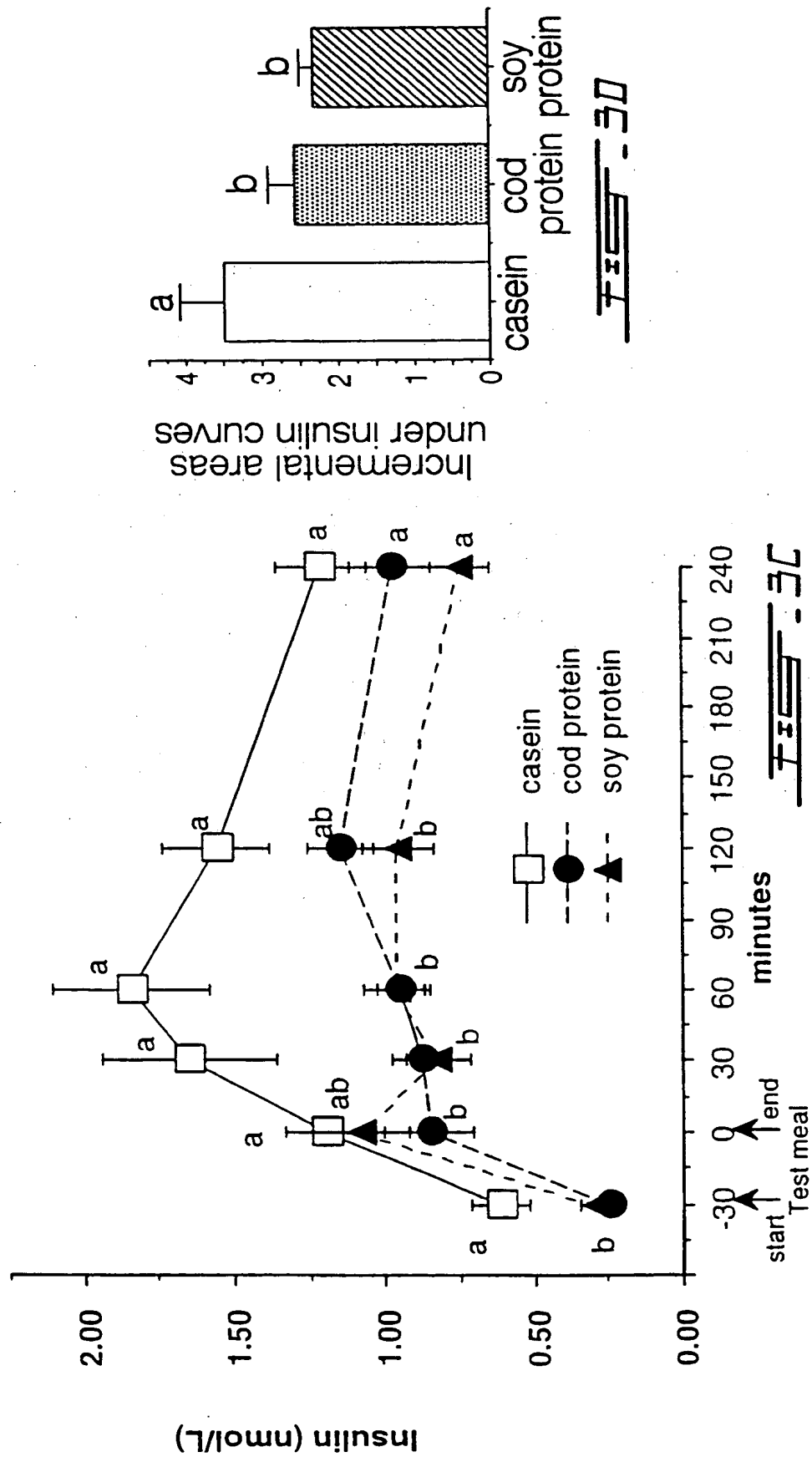


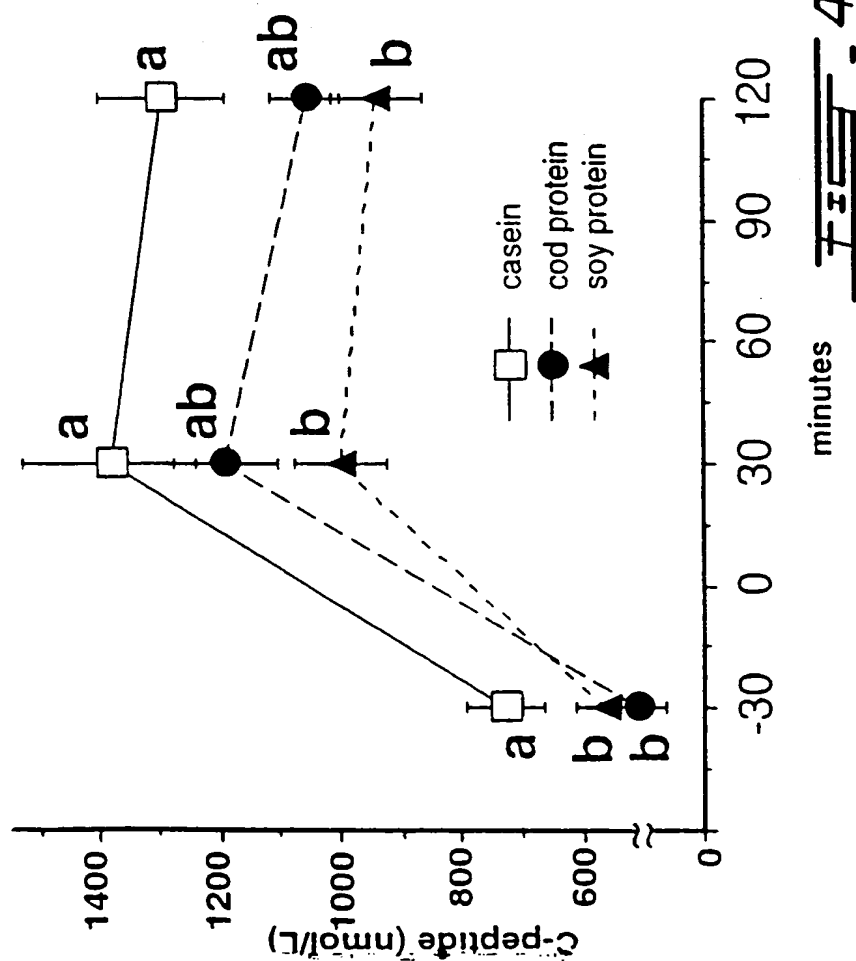
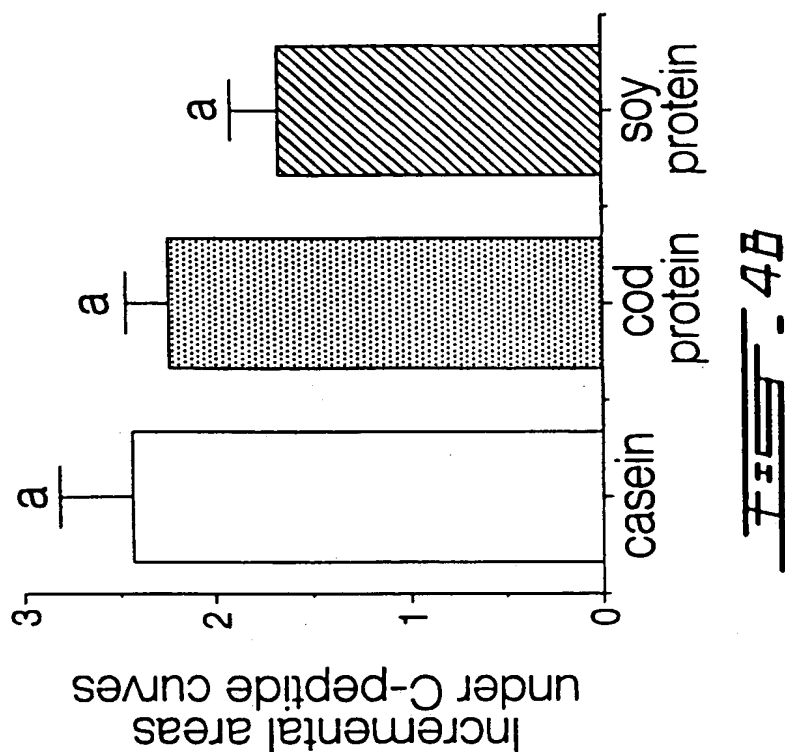
FIG. 3B

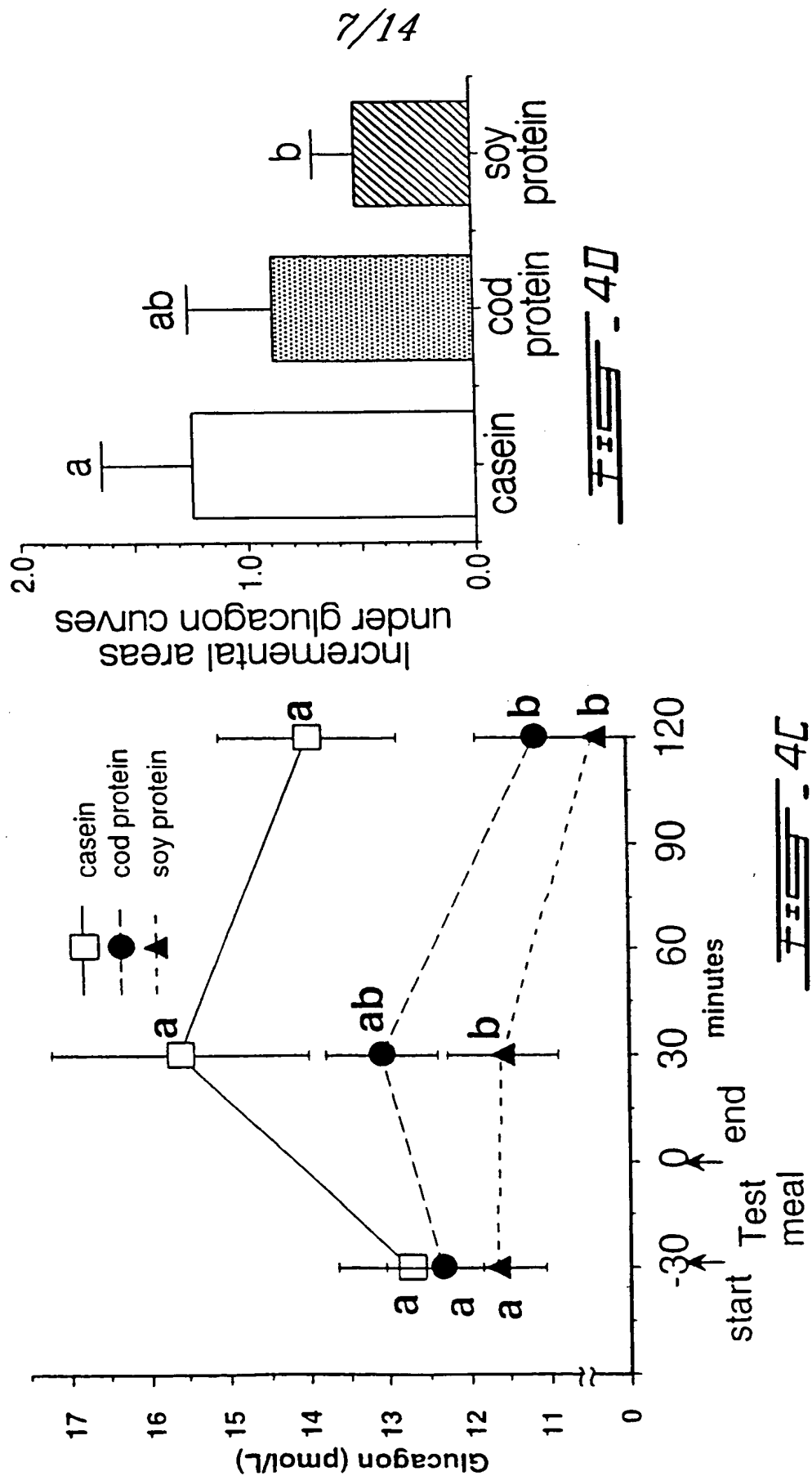
FIG. 3A

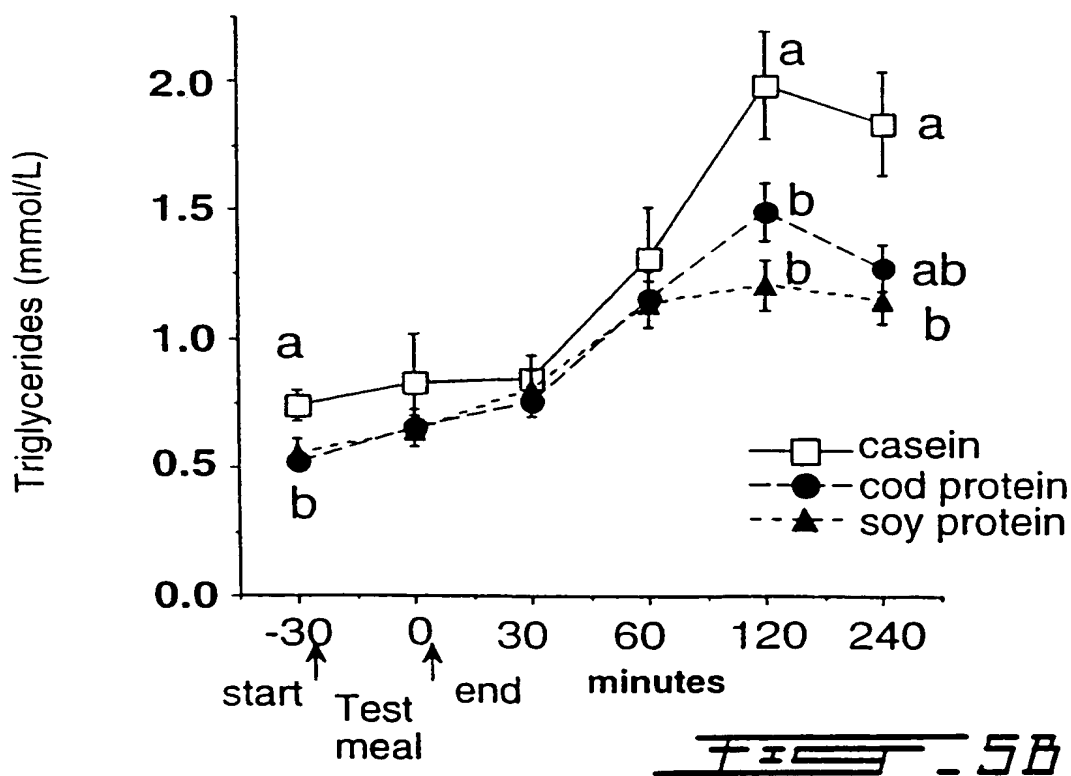
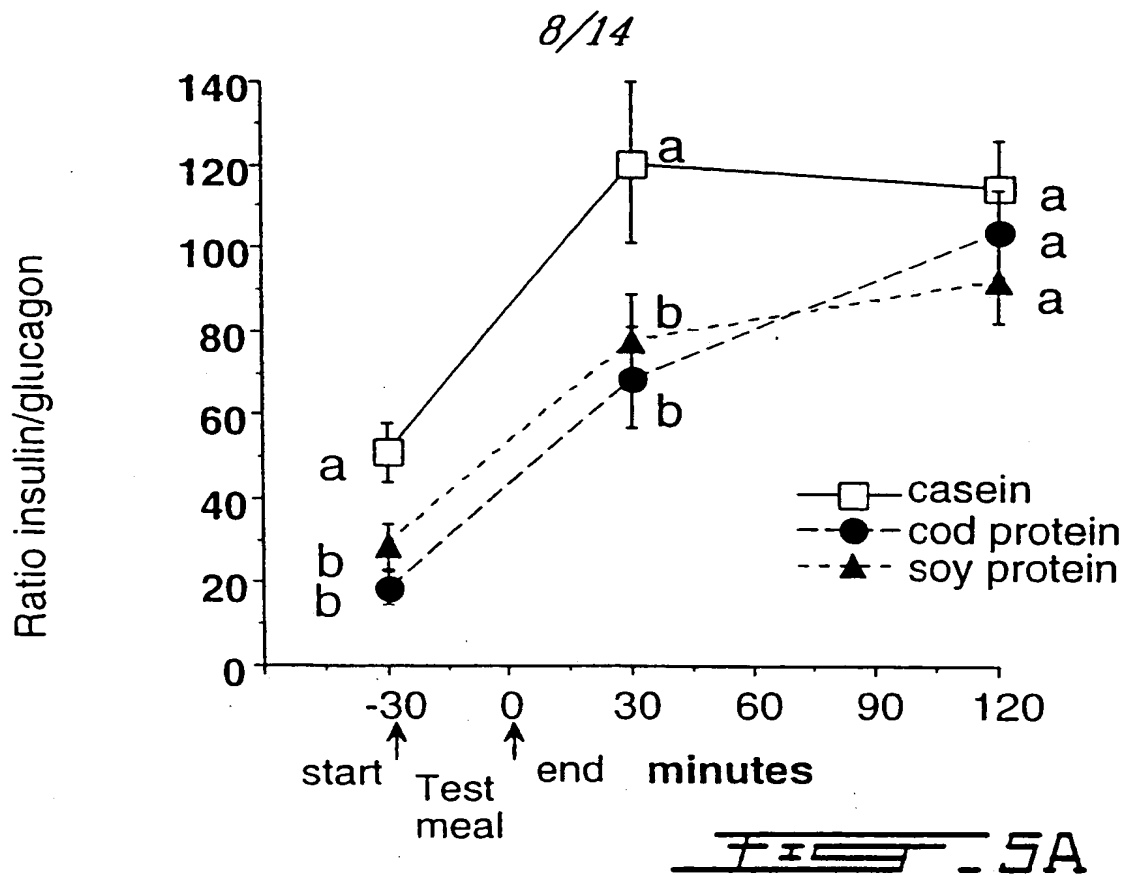
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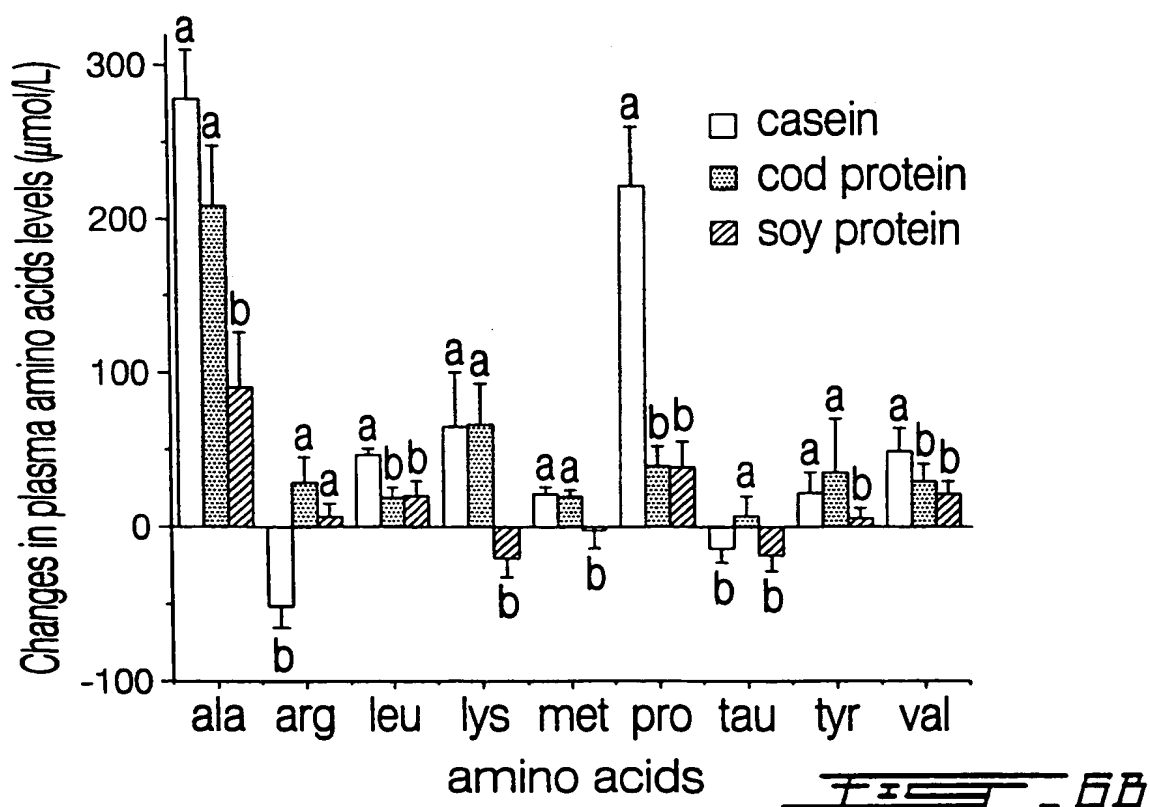
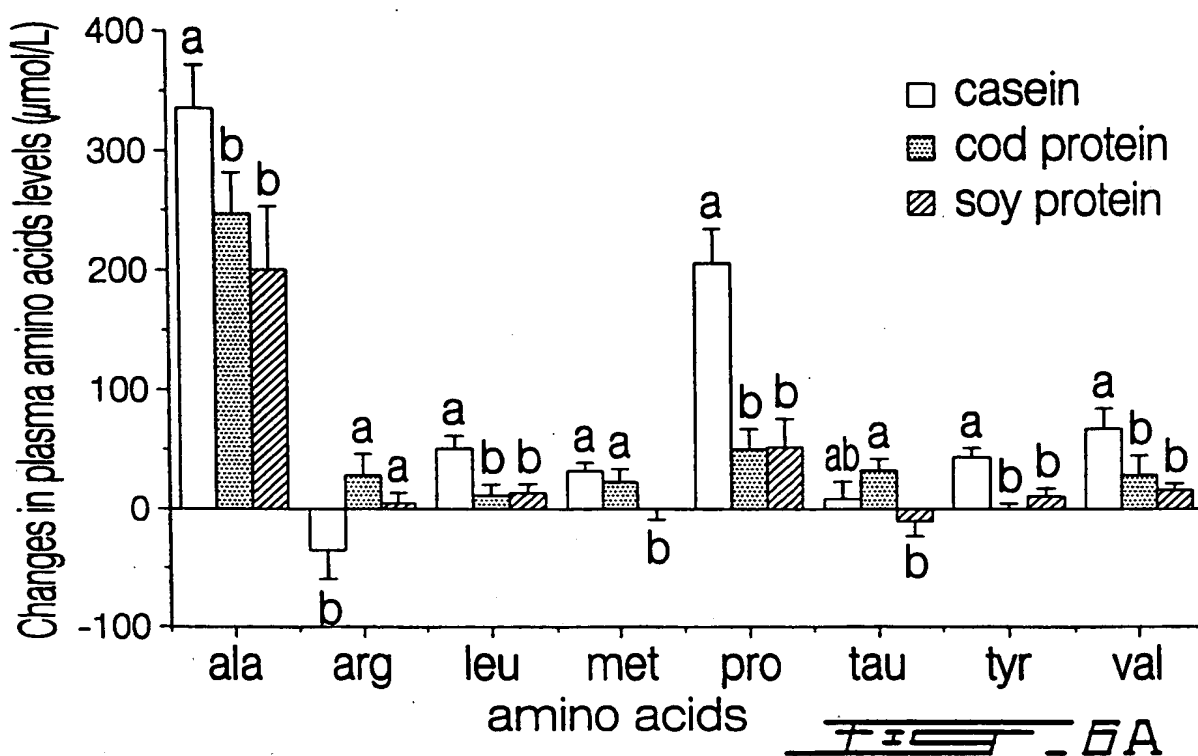
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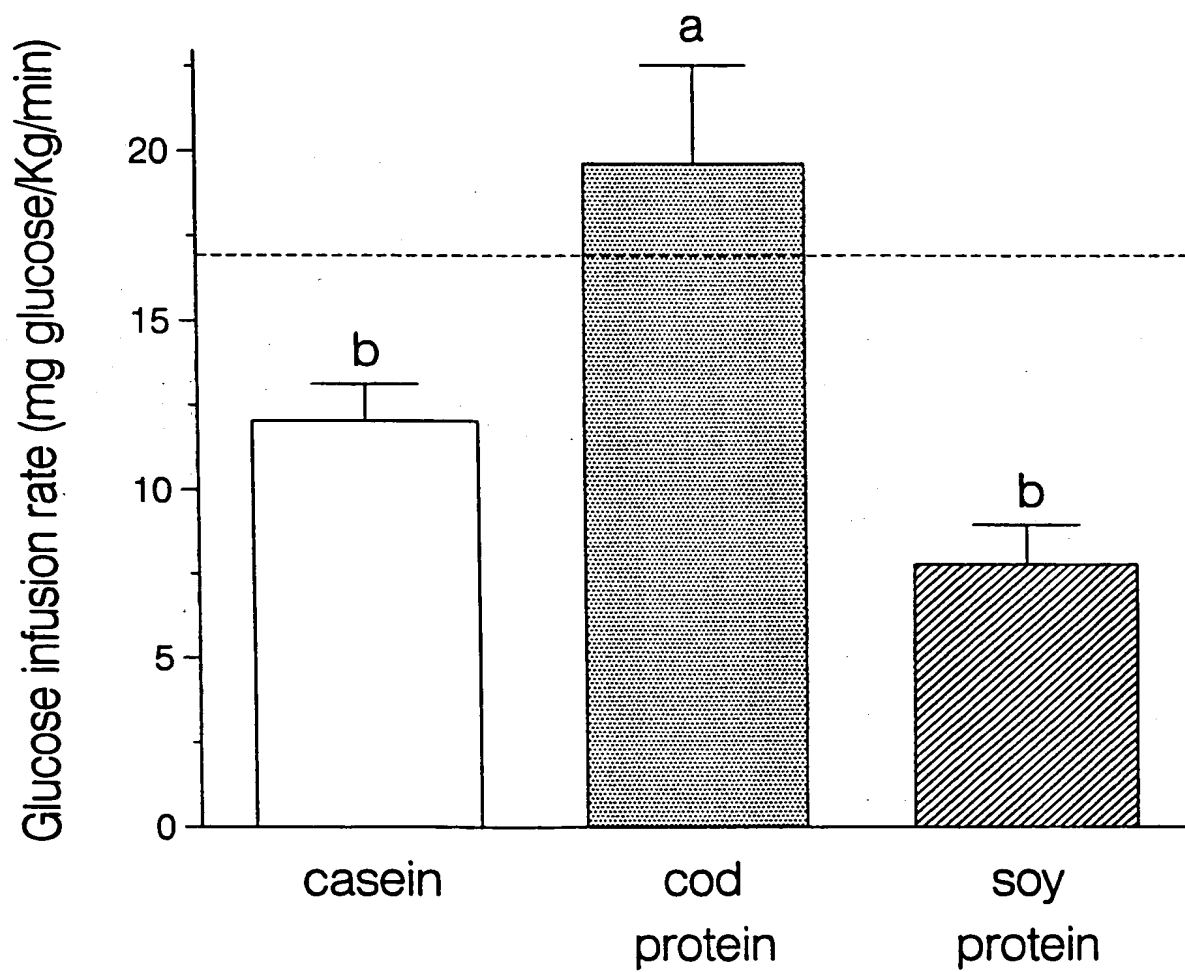




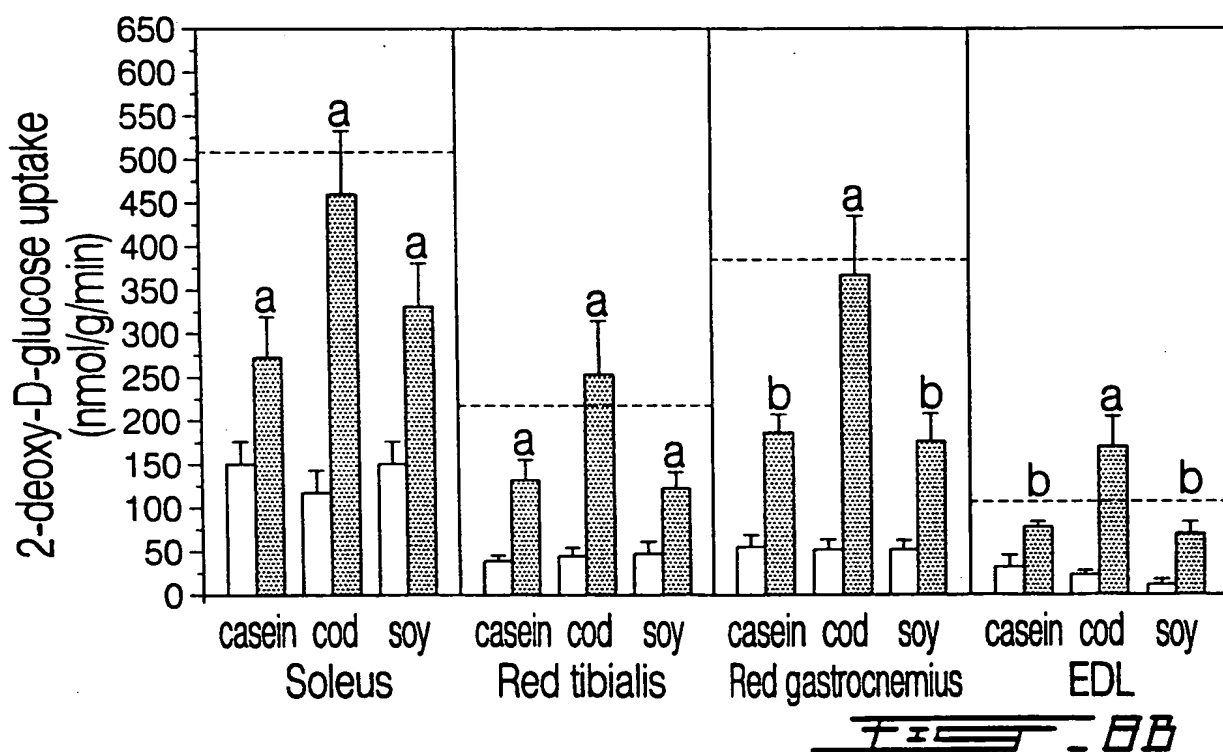
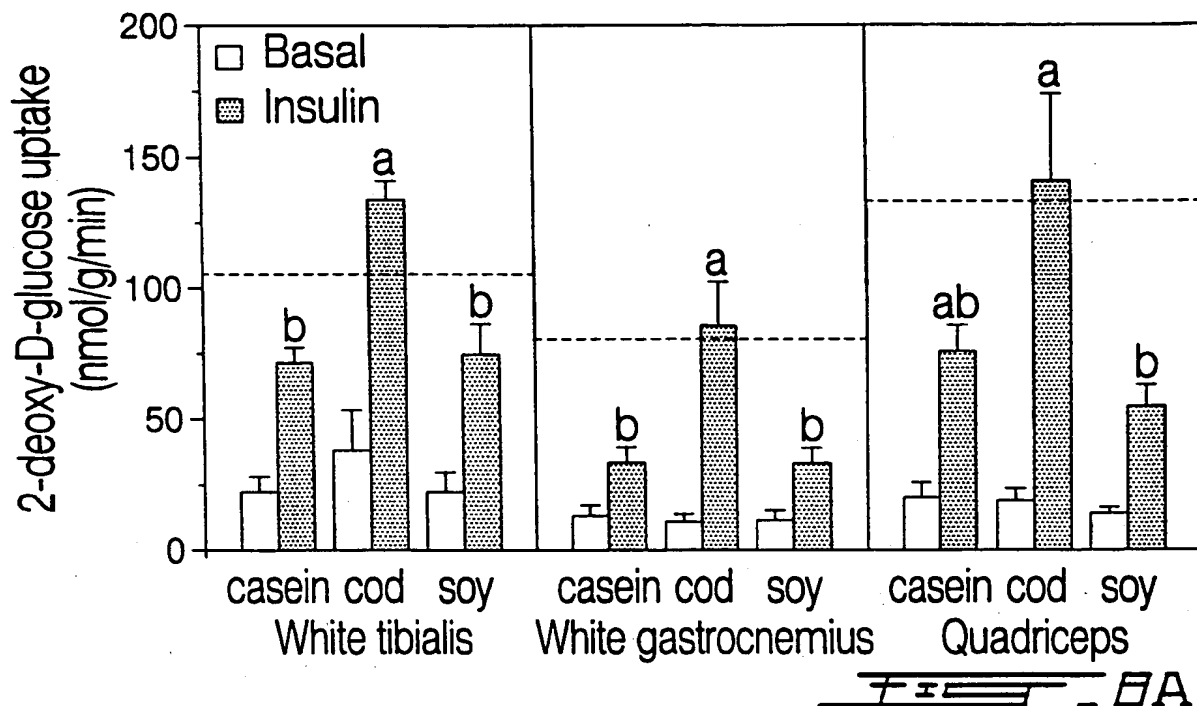
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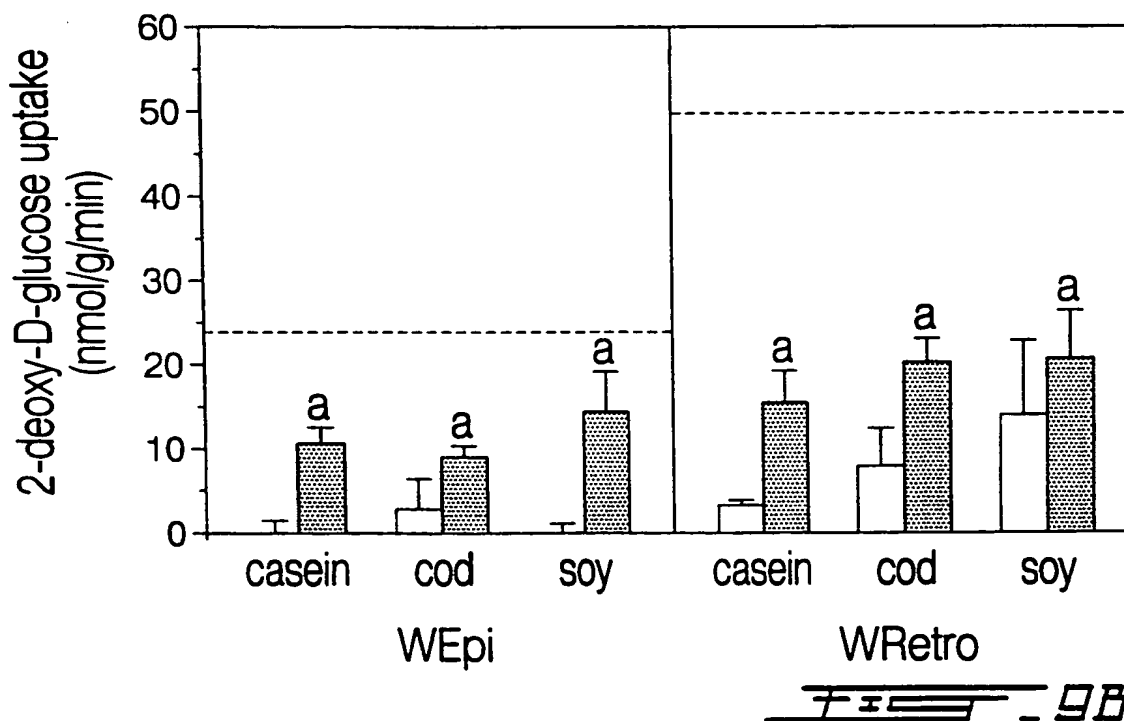
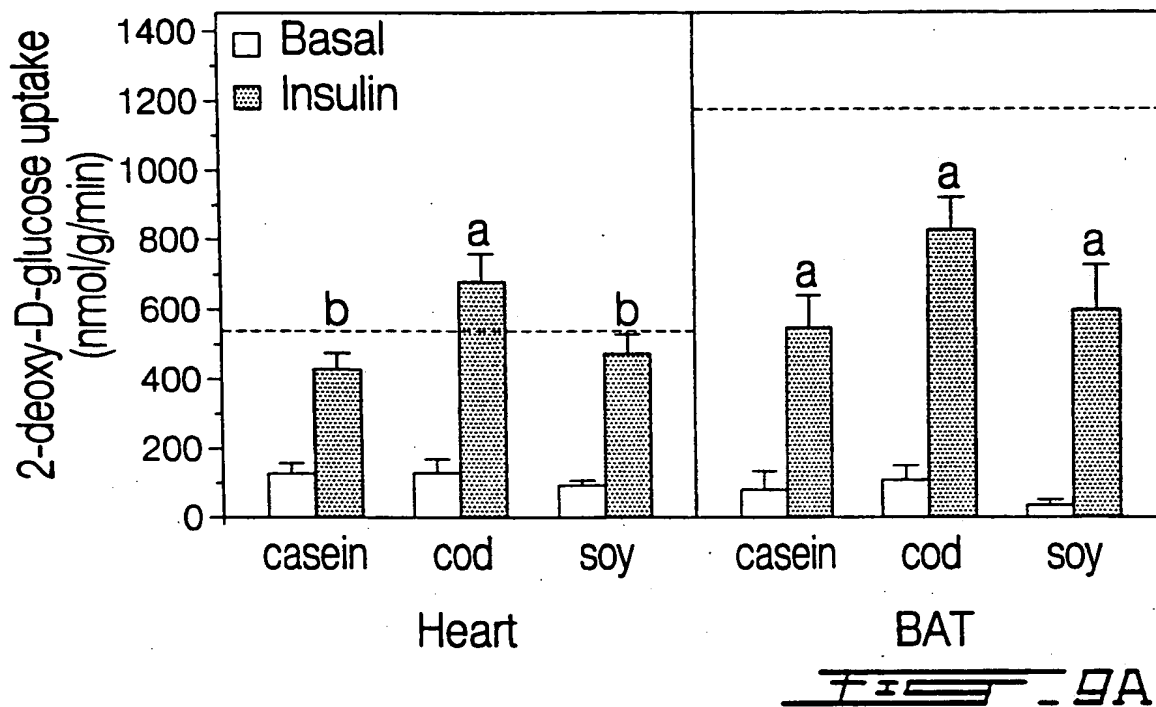
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FIG. 7

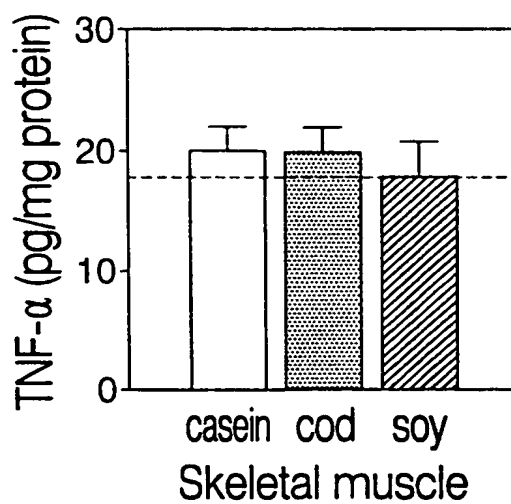
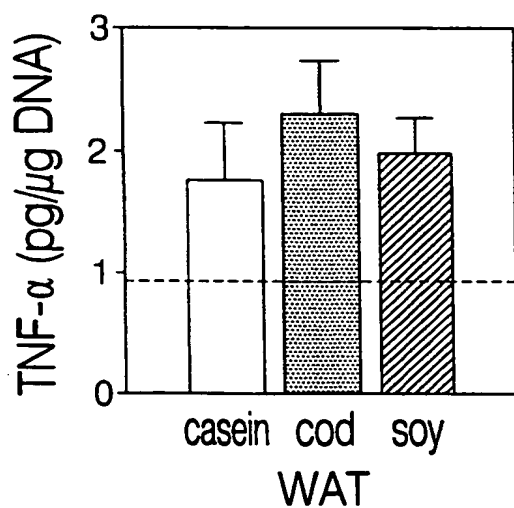
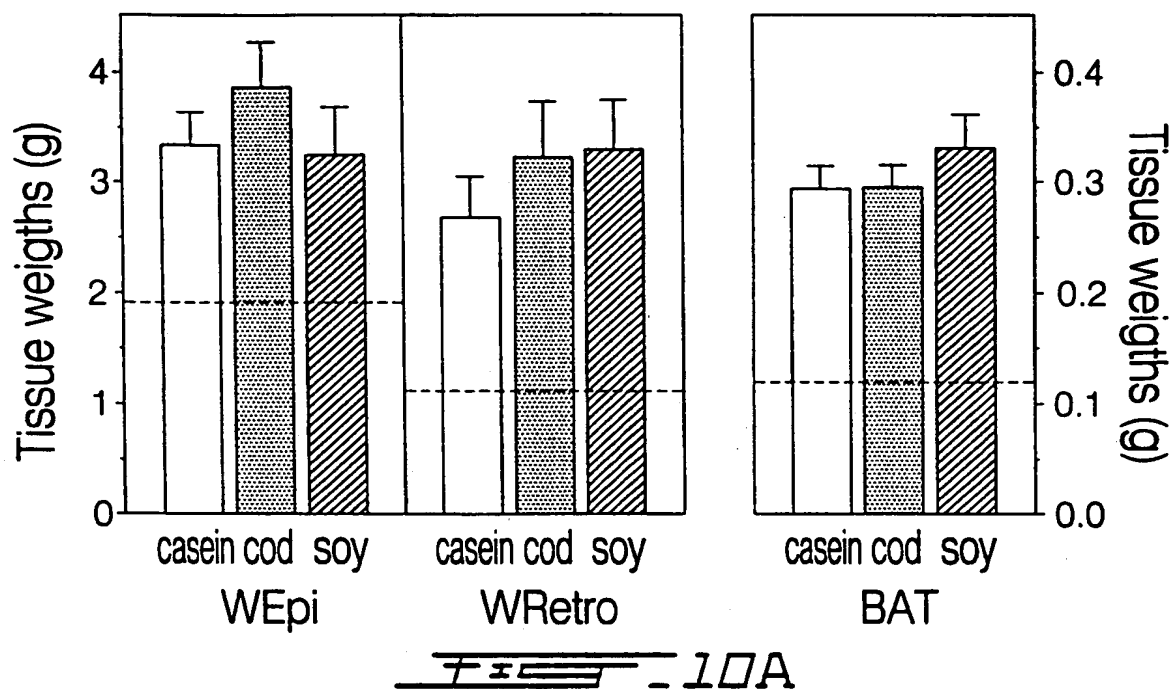
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